# Hadronic diffraction as a probe for long-distance effects in QCD

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### **Definition of diffraction**



## **Challenges: theory vs experiment**

✓ The definition of diffraction is not unique



#### 

- ★ fluctuations during the hadronisation process (protons from recombination? gap size?)
- ★ low vs high mass diffractive dissociation
- ★ soft vs hard Pomeron
- $\star$  hard-soft factorisation breaking, etc

huge sensitivity to details!



- interpreted in QCD as a >two gluon exchange
- not a simple pole but enigmatic non-local object



#### Birth of hard diffraction: QCD modelling of Pomer



#### **Diffraction at HERA**



#### **Diffractive DIS**



#### Sensitivity to the color string topology fluctuations





### **Diffractive factorisation breaking in pp collisions**

Incoming hadrons are not elementary — experience soft interactions dissolving them leaving much fewer rapidity gap events than in ep scattering



#### Sources of diffractive factorisation breaking:

- ✓ soft survival (=absorptive) effects (Khoze-Martin-Ryskin and Gotsman-Levin-Maor) affecting e.g. the Pomeron flux (Goulianos)
- ✓ interplay of hard and soft fluctuations in incoming hadron wave function
- ✓ saturated shape of the universal dipole cross section for large dipole sizes

Two distinct approaches treating the above effects:

- Regge-corrected (KMR) approach the first source of the factorisation breaking is accounted at the cross section level by "dressing" the factorisation formula by soft Pomeron exchanges
- ✓ Color dipole approach the universal way of inclusive/diffractive scattering treatment, accounts for all the sources of Regge factorisation breaking at the amplitude level (Kopeliovich, RP et al)

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### **Good-Walker picture of diffractive scattering**

R. J. Glauber, Phys. Rev. 100, 242 (1955).
E. Feinberg and I. Ya. Pomeranchuk, Nuovo. Cimento. Suppl. 3 (1956) 652.
M. L. Good and W. D. Walker, Phys. Rev. 120 (1960) 1857.

#### Projectile has a substructure!

Diffractive excitation determined by the fluctuations

Hadron can be excited: not an eigenstate of interaction!

$$\left|h\right\rangle = \sum_{lpha=1} C^{h}_{lpha} \left|lpha
ight
angle$$

$$\hat{f}_{el}|\alpha\rangle = f_{\alpha}|\alpha\rangle$$

**Completeness and orthogonality** 

$$\langle h'|h\rangle = \sum_{\alpha=1} (C_{\alpha}^{h'})^* C_{\alpha}^h = \delta_{hh'}$$
  
 
$$\langle \beta|\alpha\rangle = \sum_{h'} (C_{\beta}^{h'})^* C_{\alpha}^{h'} = \delta_{\alpha\beta}$$

**Elastic and single diffractive amplitudes** 

$$f_{el}^{h \to h} = \sum_{\alpha=1}^{h \to h} |C_{\alpha}^{h}|^{2} f_{\alpha}$$
$$f_{sd}^{h \to h'} = \sum_{\alpha=1}^{h \to h'} (C_{\alpha}^{h'})^{*} C_{\alpha}^{h} f_{\alpha}$$

Single diffractive cross section

#### **Important basis for the dipole picture!**

fluctuations				semi-soft	soft
		$ C_{\alpha} ^2$	σα	$\sigma_{tot} = \sum_{\alpha = soft}^{hard}  C_{\alpha} ^2 \sigma_{\alpha}$	$\sigma_{sd} = \sum_{\alpha = soft}^{hard}  C_{\alpha} ^2 \sigma_{\alpha}^2$
	Hard	$\sim 1$	$\sim rac{1}{Q^2}$	$\sim rac{1}{Q^2}$	$\sim rac{1}{Q^4}$
	Soft	$\sim rac{m_q^2}{Q^2}$	$\sim \frac{1}{m_q^2}$	$\sim rac{1}{Q^2}$	$\sim \frac{1}{m_q^2 Q^2}$

semi\_hard/

$$\frac{d\sigma_{sd}^{h \to h'}}{dt}\Big|_{t=0} = \frac{1}{4\pi} \left[ \sum_{h'} |f_{sd}^{hh'}|^2 - |f_{el}^{hh}|^2 \right]$$

$$= \frac{1}{4\pi} \left[ \sum_{\alpha} |C_{\alpha}^{h}|^2 |f_{\alpha}|^2 - \left(\sum_{\alpha} |C_{\alpha}^{h}| f_{\alpha}\right)^2 \right] = \underbrace{\left[ \frac{\langle f_{\alpha}^2 \rangle - \langle f_{\alpha} \rangle^2}{4\pi} \right]}_{12}$$

### Phenomenological dipole approach

#### Eigenvalue of the total cross section is the universal dipole cross section

#### **Dipole:**

- cannot be excited
- experience only elastic scattering
- have no definite mass, but only separation
- universal elastic amplitude can be extracted in one process and used in another

#### see e.g. B. Kopeliovich et al, since 1981

Eigenstates of interaction in QCD: color dipoles

$$\sum_{h'} \frac{d\sigma_{sd}^{h \to h'}}{dt} \bigg|_{t=0} = \sum_{\alpha=1} |C_{\alpha}^{h}|^{2} \frac{\sigma_{\alpha}^{2}}{16\pi} =$$
**SD cross section**
$$\int d^{2}r_{T} (|\Psi_{h}(r_{T})|^{2}) \frac{\sigma^{2}(r_{T})}{16\pi} = \frac{\langle \sigma^{2}(r_{T}) \rangle}{16\pi}$$

wave function of a given Fock state

total DIS cross section

$$\sigma_{tot}^{\gamma^* p}(Q^2, x_{Bj}) = \int d^2 r_T \int_0^1 dx \left| \Psi_{\gamma^*}(r_T, Q^2) \right|^2 \sigma_{\bar{q}q}(r_T, x_{Bj})$$

Theoretical calculation of the dipole CS is a challenge

BUT! Can be extracted from data and used in ANY process!

Example: Naive GBW parameterization of HERA data

partonic interpretation of

a scattering does depend on

frame of reference!

color transparency

**QCD** factorisation

 $\sigma_{\bar{q}q}(r_T,x) = \sigma_0 \left[1 - e^{-\frac{1}{4}r_T^2 \mathcal{Q}_s^2(x)}\right]$ 

saturates at large separations

$$r_T^2 \gg 1/Q_s^2$$

$$egin{aligned} \sigma_{ar{q}q}(r_T) &\propto r_T^2 & r_T 
ightarrow 0 \ \sigma_{qar{q}}(r,x) &\propto r^2 x g(x) \end{aligned}$$

A point-like colorless object does not interact with external color field!

ANY inclusive/diffractive scattering is due to an interference of dipole scatterings!

### **Gluon distribution amplitudes and dipole CS**

In most cases, a scattering cross section in the target rest frame can be represented in terms of three basic ingredients:

Gluon to quark-antiquark splitting amplitude:

$$\begin{split} \Phi_{Q\bar{Q}}^{T} &= \sqrt{\alpha_{s}} \int \frac{d^{2}\kappa}{(2\pi)^{2}} \left(\xi_{Q}^{\mu}\right)^{\dagger} \frac{m_{Q}(\vec{e}_{ini}\cdot\vec{\sigma}) + (1-2\beta)(\vec{\sigma}\cdot\vec{n})(\vec{e}_{ini}\cdot\vec{\kappa}) + i(\vec{e}_{ini}\times\vec{n})\cdot\vec{\kappa}}{\kappa^{2} + \epsilon^{2}} \tilde{\xi}_{\bar{Q}}^{\bar{\mu}} e^{-i\vec{\kappa}\vec{r}} \\ &= \frac{\sqrt{\alpha_{s}}}{2\pi} \left(\xi_{Q}^{\mu}\right)^{\dagger} \left\{ m_{Q}(\vec{e}_{ini}\cdot\vec{\sigma}) + i(1-2\beta)(\vec{\sigma}\cdot\vec{n})(\vec{e}_{ini}\cdot\vec{\nabla}_{r}) - (\vec{e}_{ini}\times\vec{n})\cdot\vec{\nabla}_{r} \right\} \tilde{\xi}_{\bar{Q}}^{\bar{\mu}} K_{0}(\epsilon r) \,, \end{split}$$

Gluon Bremsstrahlung off a quark:

$$\Phi_{qG^*}^T(\alpha,\vec{\pi}) = \sqrt{\alpha_s} \left(\eta_Q^s\right)^{\dagger} \frac{(2-\alpha)(\vec{e_*}\cdot\vec{\pi}) + im_q \alpha^2(\vec{n}\times\vec{e_*})\cdot\vec{\sigma} - i\alpha(\vec{\pi}\times\vec{e_*})\cdot\vec{\sigma}}{\vec{\pi}^2 + \alpha^2 m_q^2} \eta_Q^{s'}$$

#### Universal dipole cross section:

![](_page_13_Figure_7.jpeg)

#### **Dipole approach vs NLO QCD: Drell-Yan**

![](_page_14_Figure_1.jpeg)

### **Diffractive Abelian (e.g. Drell-Yan) radiation via dipoles**

![](_page_15_Figure_1.jpeg)

interplay between hard and soft fluctuations is pronounced!

**SD DY/gauge bosons** 

#### superposition has a Good-Walker structure

$$\propto \sigma(\vec{R}) - \sigma(\vec{R} - \alpha \vec{r}) = \frac{2\alpha \sigma_0}{R_0^2(x_2)} e^{-R^2/R_0^2(x_2)} \left(\vec{r} \cdot \vec{R}\right) + O(r^2)$$

Diffractive DIS  $\propto r^4 \propto 1/M^4\,$  vs diffractive DY  $\propto r^2 \propto 1/M^2\,$ 

 $r \sim 1/(1-\alpha)M$ 

![](_page_15_Figure_7.jpeg)

- diffractive factorisation is automatically broken
- any SD reaction is a superposition of dipole amplitudes
- gap survival is automatically  $\star$ included at the amplitude level on the same footing as dip. CS
- works for a variety of data  $\star$ in terms of universal dip. CS

Sophisticated dipole cascades are being put into MC: Lund Dipole Chain model (DIPSY) Ref. G. Gustafson, and L. Lönnblad

### **Elastic amplitude and gap survival**

Dipole elastic amplitude has **eikonal form**:

$$\operatorname{Im} f_{el}(\vec{b}, \vec{r}_1 - \vec{r}_2) = 1 - \exp\left[i\chi(\vec{r}_1) - i\chi(\vec{r}_2)\right]$$
$$\sigma_{\bar{q}q}(r_p, x) = \int d^2b \, 2 \operatorname{Im} f_{el}(\vec{b}, \vec{r}_p) = \sigma_0(1 - e^{-r_p^2/R_0^2(x)})$$
$$\chi(b) = -\int_{-\infty}^{\infty} dz \, V(\vec{b}, z) \qquad \qquad \underbrace{potential \text{ is nearly imaginary}}_{at \text{ high energies!}}$$

**Diffractive amplitude** is proportional to

$$\operatorname{Im} f_{el}(\vec{b}, \vec{r_1} - \vec{r_2} + \alpha \vec{r}) - \operatorname{Im} f_{el}(\vec{b}, \vec{r_1} - \vec{r_2}) = \exp\left[i\chi(\vec{r_1}) - i\chi(\vec{r_2})\right] \exp\left[i\alpha \vec{r} \cdot \vec{\nabla}\chi(\vec{r_1})\right]$$
$$|\vec{r_i} - \vec{r_j}| \sim b \sim R_p, \ i \neq j$$

Exactly the soft survival probability amplitude

another source of QCD factorisation breaking

*controlled by soft spectator partons* vanishes in the black disc limit!

Absorption effect is automatically included into elastic amplitude at the amplitude level

#### **SD-to-inclusive ratio for diffractive gauge bosons production**

**RP et al 2011,12** 
$$\operatorname{Im} f_{el}(\vec{b}, \vec{R}_{ij} + \alpha \vec{r}) - \operatorname{Im} f_{el}(\vec{b}, \vec{R}_{ij}) \simeq \frac{\partial \operatorname{Im} f_{el}(\vec{b}, \vec{R}_{ij})}{\partial \vec{R}_{ij}} \alpha \vec{r}$$

$$\begin{split} |\Psi_{i}(\vec{r_{1}},\vec{r_{2}},\vec{r_{3}};x_{q},\{x_{q}^{2,3,\dots}\},\{x_{g}^{2,3,\dots})|^{2} &= \frac{3a^{2}}{\pi^{2}}e^{-a(r_{1}^{2}+r_{2}^{2}+r_{3}^{2})}\rho(x_{q},\{x_{q}^{2,3,\dots}\},\{x_{g}^{2,3,\dots}\}) \\ & \times \delta(\vec{r_{1}}+\vec{r_{2}}+\vec{r_{3}})\delta(1-x_{q}-\sum_{j}x_{q/g}^{j}), \\ & a &= \langle r_{ch}^{2} \rangle^{-1} \\ \int d^{2}r_{1}d^{2}r_{2}d^{2}r_{3} e^{-a(r_{1}^{2}+r_{2}^{2}+r_{3}^{2})}\delta(\vec{r_{1}}+\vec{r_{2}}+\vec{r_{3}}) &= \frac{1}{9}\int d^{2}R_{12}d^{2}R_{13}e^{-\frac{2a}{3}(R_{12}^{2}+R_{13}^{2}+\vec{R_{12}}\vec{R_{13}})} \end{split}$$

$$\frac{d\sigma_{\lambda_G}^{sd}/d^2 q_\perp dx_1 dM^2}{d\sigma_{\lambda_G}^{incl}/d^2 q_\perp dx_1 dM^2} = \frac{a^2}{6\pi} \frac{\bar{R}_0^2 (M_\perp^2/x_1 s)}{B_{sd}(s) \bar{\sigma}_0} \frac{\sigma_0^2(s)}{R_0^4(s)} \frac{1}{A_2} \Big[ \frac{2}{(A_2 - 4A_1)^2} + \frac{A_2^2}{(A_2^2 - 4A_3^2)^2} \Big]$$

$$A_1 = \frac{2a}{3} + \frac{2}{R_0^2(s)}, \qquad A_2 = \frac{2a}{3}, \qquad A_3 = \frac{2a}{3} + \frac{1}{R_0^2(s)}$$

#### **Soft KST (large dipoles)**

$$R_{0}(s) = 0.88 \text{ fm} (s_{0}/s)^{0.14}$$

$$\sigma_{0}(s) = \sigma_{tot}^{\pi p}(s) \left(1 + \frac{3R_{0}^{2}(s)}{8\langle r_{ch}^{2}\rangle_{\pi}}\right)$$

$$\sigma_{tot}^{\pi p}(s) = 23.6(s/s_{0})^{0.08} \text{ mb}$$

$$\langle r_{ch}^{2}\rangle_{\pi} = 0.44 \text{ fm}^{2}$$

#### Hard GBW (small dipoles)

$$\bar{\sigma}_0 = 23.03 \,\mathrm{mb}\,, \quad \bar{R}_0(x_2) = 0.4 \,\mathrm{fm} \times (x_2/x_0)^{0.144}\,, \quad x_0 = 3.04 \times 10^{-4}$$

**diffractive (Regge) slope**  $B_{sd}(s) \simeq \langle r_{ch}^2 \rangle / 3 + 2\alpha'_{I\!P} \ln(s/s_0)$ 

At the leading twist, the dipole approach predicts the same angular correlation in DDY as in inclusive DY!

### **Diffractive factorisation breaking in DDY**

![](_page_18_Figure_1.jpeg)

#### PT correlations in inclusive and diffractive Drell-Yan

![](_page_19_Figure_1.jpeg)

#### Angular correlations in Drell-Yan as a probe for saturation

![](_page_20_Figure_1.jpeg)

### Heavy flavour production: Bremsstrahlung vs Fusion

#### Gauge-invariant sub-sets of diagrams

B. Kopeliovich et al, PRD76 2007

![](_page_21_Figure_3.jpeg)

**<u>Gluon virtuality</u>** 

$$(p_2 - p_1)^2 \equiv -Q^2, \qquad Q^2 = \frac{\vec{\pi}^2 + \alpha^2 m_q^2}{\bar{\alpha}} \qquad \vec{\pi} = \alpha \vec{p}_2 - \bar{\alpha} \vec{k}, \qquad \vec{k} = \sum_i \vec{k}_i$$

Basis for heavy flavour production in the dipole picture

#### **Dipole framework for heavy flavor production**

![](_page_22_Figure_1.jpeg)

### **Inclusive Q-jet pT distribution in pp collisions vs LHC data**

![](_page_23_Figure_1.jpeg)

### **Diffractive non-Abelian (gluon) radiation via dipoles**

![](_page_24_Figure_1.jpeg)

when the LO contributions get generalised to all-order results, ALL possible higher-order (perturbative+nonperturbative) corrections due to NON-RESOLVED emissions are **AUTOMATICALLY resumed and accounted for by the dipole formula!** 

$$\begin{aligned} \mathbf{SD} \, \mathbf{amplitude} & \overline{|A_{\rm SD}|^2} \simeq \frac{3}{256} \, |\Psi_{in}|^2 |\Psi_{fin}|^2 \sum_{i,j=1}^2 \left[ \nabla^i \Psi_{Q\bar{Q}}^*(\alpha,\vec{r}) \, \nabla^j \Psi_{Q\bar{Q}}(\alpha,\vec{r}') \right] \Omega_{\rm soft}^{ij} \\ \text{"soft color screening" part} & \Omega_{\rm soft}^{ij} = \left[ \nabla^i \sigma_{q\bar{q}}(\vec{r}_{12}) + \nabla^i \sigma_{q\bar{q}}(\vec{r}_{13}) \right] \left[ \nabla^j \sigma_{q\bar{q}}(\vec{r}_{12}) + \nabla^j \sigma_{q\bar{q}}(\vec{r}_{13}) \right] \\ \mathbf{SD-to-inclusive ratio} \\ \frac{d\sigma_{\rm SD}}{d\Omega} \simeq \left( \frac{\bar{R}_0^2(x_2)}{\bar{\sigma}_0} \left[ \alpha^2 + \bar{\alpha}^2 - \frac{1}{4} \alpha \bar{\alpha} \right]^{-1} F_{\rm S}(x_1, s) \frac{d\sigma_{\rm incl}}{d\Omega} \quad F_{\rm S}(x_1, s) \equiv \frac{729 \, a^2 \sigma_0(x_1 s)^2 \, \Lambda(x_1 s)}{4096 \, \pi^2 \, B_{\rm SD}(s)} \end{aligned}$$

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The angular correlation is affected by color-screening interaction in higher-twist diffraction but not in the leading twist!

#### **SD vs inclusive: Heavy QQbar angular correlation**

$$\frac{d^3\sigma(G \to Q\bar{Q} + X)}{d(\ln\alpha)d^2p_T} = \frac{1}{6\pi} \int \frac{d^2\kappa_\perp}{\kappa_\perp^4} \alpha_s^2 \mathcal{F}(x,\kappa_\perp^2) \times \left\{ \left[ \frac{9}{8} \mathcal{H}_0(\alpha,\bar{\alpha},p_T) - \frac{9}{4} \mathcal{H}_1(\alpha,\bar{\alpha},p_T,\kappa) + \mathcal{H}_2(\alpha,\bar{\alpha},p_T,\kappa) + \frac{1}{8} \mathcal{H}_3(\alpha,\bar{\alpha},p_T,\kappa) \right] + [\alpha \longleftrightarrow \bar{\alpha}] \right\}$$

![](_page_25_Figure_2.jpeg)

The same for inclusive and leading-twist single-diffractive QQbar production!

#### **Diffractive Higgsstrahlung off heavy quarks**

![](_page_26_Figure_1.jpeg)

RP, B. Kopeliovich, I. Potashnikova, PRD92 2015

#### **Diffractive Higgsstrahlung off heavy quarks**

![](_page_27_Figure_1.jpeg)

![](_page_28_Figure_0.jpeg)

### **Summary**

- ✓ Hadronic diffraction is one of the most prominent tools for probing the long-distance effects in QCD
- Major sources of diffractive factorisation breaking in hadron-hadron collisions are (i) the absorptive corrections, and (ii) the hard-soft interplay due to transverse motion of spectators, making the hadronic diffraction of the leading-twist nature
- The dipole picture provides universal and robust means for studies the inclusive and single-diffractive processes in both pp and pA collisions at large Feynman xF beyond QCD factorisation
- ✓ The universal partial dipole amplitude accounts for the absorptive corrections such that no additional probabilistic fudge factors are necessary in the dipole picture
- ✓ Single-diffractive gauge bosons' (e.g. Drell-Yan) and heavy flavour production at large Feynman xF has been studied beyond diffractive factorisation
- The SD-to-diffractive ratio affects the scale and rapidity dependence of the leading-twist hadronic diffractive observables compared to the inclusive ones, the angular correlations are the same as in the inclusive case.