

The three-dimensional holographic universe

Gravity, higher spins and strings

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3D HIGHER SPINS AND HOLOGRAPHY

3D gravity with negative cosmological constant coupled to higher spins: simple(st) candidate quantum gravity theories

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Nonlinear W_∞ as Asymptotic Symmetry of Three-Dimensional Higher Spin AdS Gravity

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abstract

We investigate the asymptotic symmetry algebra of $(2+1)$ -dimensional higher spin, anti-de Sitter gravity. We use the formulation of the theory as a Chern-Simons gauge theory based on the higher spin algebra $\mathfrak{hs}(s,1)$. Expanding the gauge connection around asymptotically anti-de Sitter spacetime, we specify consistent boundary conditions on the higher spin gauge fields. We then study residual gauge transformations, the corresponding surface terms and their Poisson bracket algebra. We find that the asymptotic symmetry algebra is a nonlinearly realized W_∞ algebra with classical central charge. We discuss implications of our results to quantum gravity and to various situations in string theory.

arXiv:1008.4714v2 [hep-th] 11 Nov 2010

ASYMPTOTIC SYMMETRIES OF THREE-DIMENSIONAL GRAVITY COUPLED TO HIGHER-SPIN FIELDS

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ABSTRACT

We discuss the emergence of W_∞ -algebra as asymptotic symmetries of higher-spin gauge theories coupled to three-dimensional Einstein gravity with a negative cosmological constant. We focus on models involving a finite number of bosonic higher-spin fields, and especially on the example provided by the coupling of a spin-3 field to gravity. It is described by a $SU(2) \times SU(2)$ Chern-Simons theory and its asymptotic symmetry algebra is given by two copies of the classical W_3 -algebra with central charge the one computed by Brown and Henneaux in pure gravity with negative cosmological constant.

arXiv:1011.2986v4 [hep-th] 8 May 2012

An AdS_3 Dual for Minimal Model CFTs

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ABSTRACT: We propose a duality between the $2d$ W_N minimal models in the large N 't Hooft limit, and a family of higher spin theories on AdS_3 . The $2d$ CFTs can be described as WZW coset models, and include, for $N = 2$, the usual Virasoro unitary series. The dual bulk theory contains, in addition to the massless higher spin fields, two complex scalars (of equal mass). The mass is directly related to the 't Hooft coupling constant of the dual CFT. We give convincing evidence that the spectra of the two theories match precisely for all values of the 't Hooft coupling. We also show that the RG flows in the $2d$ CFT agree exactly with the usual AdS_3/CFT_2 predictions of the gravity theory. Our proposal is in many ways analogous to the Klebanov-Polchinski conjecture for an AdS_3 dual for the singlet sector of large N vector models.

- A. Castro, R. Gopakumar, M. Gutperle, J.R., *Conical Defects in Higher Spin Theories*, JHEP **1202**, 096 (2012) [arXiv:1111.3381 [hep-th]].
- E. Perlmutter, T. Procházka, J.R., *The semiclassical limit of W_N CFTs and Vasiliev theory*, JHEP **1305**, 007 (2013) [arXiv:1210.8452 [hep-th]].
- A. Campoleoni, T. Procházka, J.R., *A note on conical solutions in 3D Vasiliev theory*, JHEP **1305**, 052 (2013) [arXiv:1303.0880 [hep-th]].
- J.R., *Quantization of conical spaces in 3D gravity*, JHEP **1503**, 060 (2015) [arXiv:1412.0278 [hep-th]].
- C. Iazeolla, J.R., *On big crunch solutions in Prokushkin-Vasiliev theory*, JHEP **1601**, 177 (2016) [arXiv:1510.08835 [hep-th]].
- J.R., *On matter coupled to the higher spin square*, arXiv:1603.07845 [hep-th].

- 3D gravity and holography
- Adding higher spins
- String theory as a higher spin theory

3D GRAVITY: TRIVIAL...

- Einstein gravity in D dimensions

$$R_{\mu\nu} = 0$$

- Linearized approximation

$$g_{\mu\nu} = \eta_{\mu\nu} + h_{\mu\nu}$$

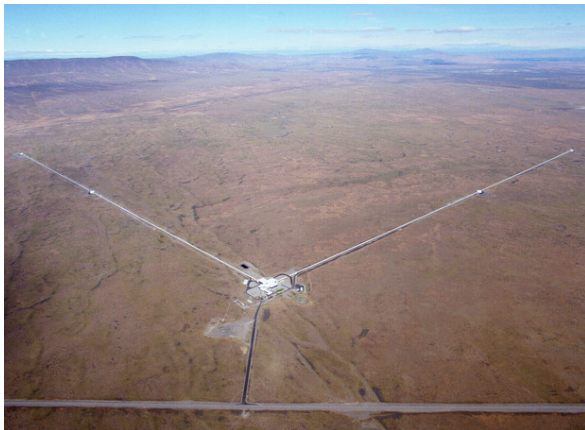
- Physical polarizations: traceless symmetric
 $(D - 2) \times (D - 2)$ -matrix

$$h_{ij}^{TT}, \quad h_{ii}^{TT} = 0 \quad i = 1, \dots, D - 2$$

- No physical polarizations in $D = 3$!

3D GRAVITY: TRIVIAL...

In flatland, Ligo would not detect anything!



...OR NOT SO TRIVIAL

- Negative cosmological constant $\Lambda = -\frac{1}{\ell^2}$

$$R_{\mu\nu} + \frac{2}{\ell^2}g_{\mu\nu} = 0$$

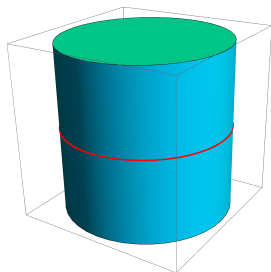
- Locally, all solutions equivalent to the Anti-de Sitter metric

$$ds^2 = -\left(1 + \frac{r^2}{\ell^2}\right) dt^2 + \frac{dr^2}{1 + \frac{r^2}{\ell^2}} + r^2 d\phi^2$$

- Subtlety: AdS_3 has a boundary ($r/\ell = \tan \theta, 0 \leq \theta \leq \pi/2$)

$$ds^2 = \frac{1}{\cos^2 \theta} (-dt^2 + d\theta^2 + \sin^2 \theta d\phi^2)$$

...OR NOT SO TRIVIAL



- Conserved charges like M, J are boundary integrals
- Reparametrizations which don't vanish on boundary can change M, J .
- These are global symmetries, relating physically inequivalent solutions.

THE BTZ BLACK HOLE

For example, there exists a Schwarzschild-like black hole solution

$$ds^2 = - \left(\frac{r^2}{\ell^2} - M \right) dt^2 + \frac{dr^2}{\frac{r^2}{\ell^2} - M} + r^2 d\phi^2$$

For $M = -1$, recover global AdS_3

CHERN-SIMONS FORMULATION

- AdS_3 gravity is a gauge theory with gauge group $SL(2, \mathbb{R}) \times SL(2, \mathbb{R})$

$$A = A_{\mu}^a T_a dx^{\mu}, \quad \tilde{A} = \tilde{A}_{\mu}^a T_a dx^{\mu}$$

$$T_0 = \frac{1}{2} \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}, \quad T_1 = \frac{1}{2} \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \quad T_2 = \frac{1}{2} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

- Metric and Christoffel symbols

$$ds^2 = \ell^2 \text{tr}(A - \tilde{A})^2, \quad A + \tilde{A} \rightarrow \text{Christoffel}$$

- Einstein equations

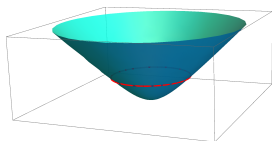
$$F \equiv dA + A \wedge A = 0, \quad \tilde{F} \equiv d\tilde{A} + \tilde{A} \wedge \tilde{A} = 0$$

WILSON LOOP

- For a closed curve \mathcal{C} , can define Wilson loop observable

$$W[\mathcal{C}] = \mathcal{P} \exp \oint_{\mathcal{C}} A$$

- e.g. for global AdS_3



$$W[\mathcal{C}] = \begin{pmatrix} -1 & 0 \\ 0 & -1 \end{pmatrix}$$

CONFORMAL SYMMETRY

- Near-boundary expansion ($x^\pm = t \pm \phi$)

$$ds^2 = d\rho^2 + e^{2\rho} dx_+ dx_- + \frac{12\pi}{c} T(x_+) dx_+^2 + \frac{12\pi}{c} \tilde{T}(x_-) dx_-^2 + \dots$$

- Invariant under 'conformal' transformations

$$x^+ \rightarrow F(x^+) + \dots$$

$$x^- \rightarrow x^- - \frac{2F''(x^+)}{F'(x^+)} e^{-2\rho} + \dots$$

$$\rho \rightarrow \rho - \frac{1}{2} \ln F'(x^+) + \dots$$

- $T(x^+)$ transforms like stress tensor in 2-dimensional conformal field theory (CFT)

$$T \rightarrow (F')^2 T - \frac{c}{24\pi} \left(\frac{F'''}{F'} - \frac{3}{2} \left(\frac{F''}{F'} \right)^2 \right)$$

HOLOGRAPHY

- Fourier modes

$$T(x^+) = \sum_n L_n e^{inx^+}$$

- Poisson brackets give Virasoro algebra

$$-i\{L_m, L_n\}_{PB} = (m - n)L_{m+n} + \frac{c}{12}m^3\delta_{m,-n}$$

with

$$c = \frac{3\ell}{2G_N}$$

- Holography: semi-classical 3D AdS gravity is a CFT with large central charge, which lives at the boundary

VIRASORO REPRESENTATIONS

- Primary:

$$L_0|h\rangle = h|h\rangle, \quad L_n|h\rangle = 0, n > 0$$

- Vacuum state $|(1, 1)\rangle$ is annihilated by one raising operator

$$L_{-1}|(1, 1)\rangle = 0$$

- Other 'short' representations: Kac table

$$|(r, s)\rangle, \quad r, s, \in \mathbb{N}_0$$

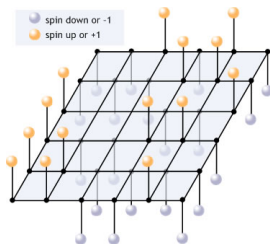
$$h_{(r,s)} = -\frac{1}{24} - \frac{rs}{2} + \frac{1}{48}(13-c)(r^2+s^2) + \frac{1}{48}\sqrt{(1-c)(25-c)}(s^2-r^2)$$

- E.g.

$$\begin{aligned}(L_{-2} - bL_{-1}^2)|(2, 1)\rangle &= 0 & \left(c = 13 - 6\left(b + \frac{1}{b}\right)\right) \\ \left(L_{-3} - \frac{b}{2}(L_{-2}L_{-1} + L_{-1}L_{-2}) + \frac{b^2}{4}L_{-1}^3\right)|(3, 1)\rangle &= 0\end{aligned}\tag{1}$$

2D ISING MODEL

- 2D Ising model near critical temperature; CFT with $c = 1/2$



- Described by 3 operators from Kac table

$$\mathbf{1} = \mathcal{O}_{(1,1)}$$

identity

$$\epsilon = \mathcal{O}_{(2,1)}$$

energy density

$$\sigma = \mathcal{O}_{(1,2)}$$

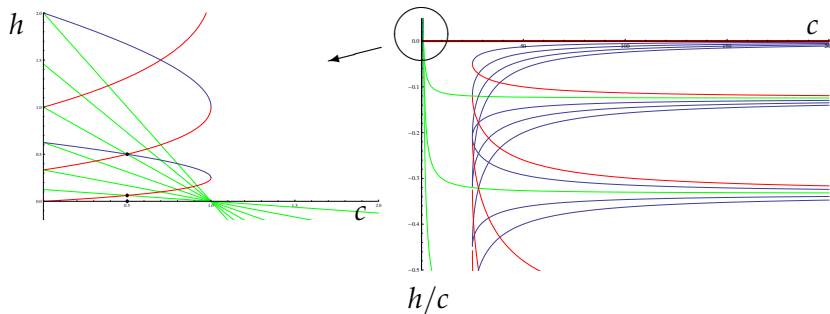
spin

HOLOGRAPHY FOR KAC STATES?

- What is the 3D gravity version of the $|(r, s)\rangle$ Kac states?
- Large c behaviour

$$h_{(r,s)} = -\frac{cr^2}{24} + \dots$$
$$(L_{-r} + \dots) |(r, 1)\rangle = 0$$

LARGE c LIMIT



in red: $|r, 1\rangle$ primaries, in green: $|r, r\rangle$ primaries, in blue: other $|r, s\rangle$ primaries

A TOUR OF THE HOLOGRAPHIC ZOO

- Holographic meaning of BTZ-like metrics

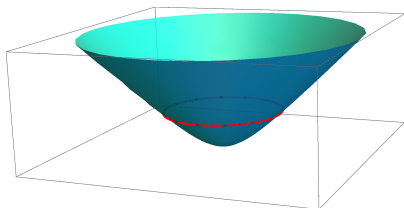
$$ds^2 = - \left(\frac{r^2}{\ell^2} - M \right) dt^2 + \frac{dr^2}{\frac{r^2}{\ell^2} - M} + r^2 d\phi^2$$

for arbitrary values of M

- Correspond to CFT states with

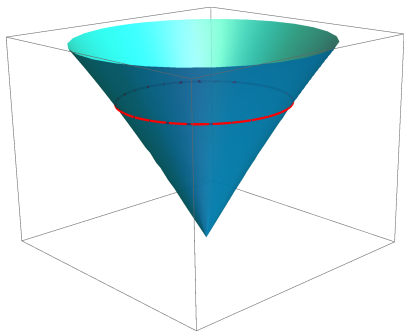
$$h = \frac{c}{24} M$$

$M = -1$: GLOBAL AdS_3



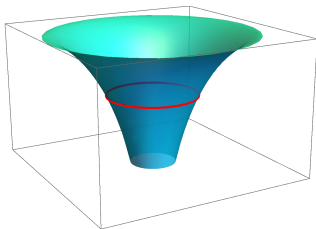
- Invariant under $L_{-1}, h = -\frac{c}{24}$
- Dual to vacuum state $|(1, 1)\rangle$
- Wilson loop trivial, $W[C] = \begin{pmatrix} -1 & 0 \\ 0 & -1 \end{pmatrix}$

$-1 < M < 0$: CONICAL DEFECTS



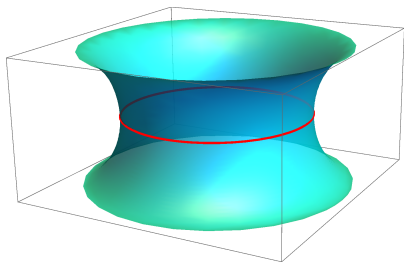
- Conical defect with deficit angle $\delta = 2\pi(1 - \sqrt{-M})$
- Dual to primary $|h = \frac{cM}{24}\rangle$
- Wilson loop $W[C] = \begin{pmatrix} \cos 2\pi\sqrt{-M} & \sin 2\pi\sqrt{-M} \\ -\sin 2\pi\sqrt{-M} & \cos 2\pi\sqrt{-M} \end{pmatrix}$

$M = 0$: EXTREMAL BLACK HOLE



- Extremal $M = J = 0$ black hole
- Dual to $|h = 0\rangle$
- Wilson loop $W[C] = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$

$M > 0$: BTZ BLACK HOLE



- Dual to $|h = \frac{cM}{24}\rangle$ primary
- Bekenstein-Hawking entropy = Cardy degeneracy in unitary CFT

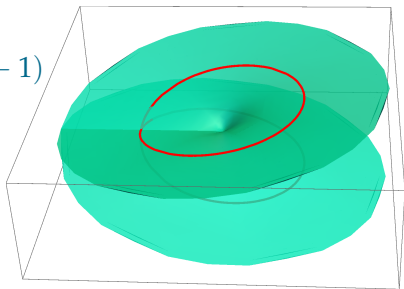
$$S_{BH} = \frac{2\pi\sqrt{M}l}{4G_N} = 4\pi\sqrt{\frac{ch}{6}} = S_{Cardy}$$

- Wilson loop $W[C] = \begin{pmatrix} \cosh 2\pi\sqrt{M} & \sinh 2\pi\sqrt{M} \\ -\sinh 2\pi\sqrt{M} & \cosh 2\pi\sqrt{M} \end{pmatrix}$

$M = -n^2$: CONICAL SURPLUSES

$$\delta = -2\pi(n - 1)$$

$$n = 2 :$$



Wilson loop trivial! $W[C] = (-1)^n \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$

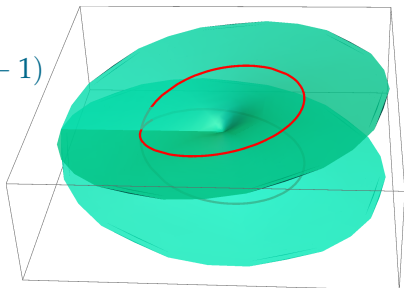
$$h = -\frac{cn^2}{24}$$

Annihilated by L_{-n}

$M = -n^2$: CONICAL SURPLUSES

$$\delta = -2\pi(n-1)$$

$$n = 2 :$$



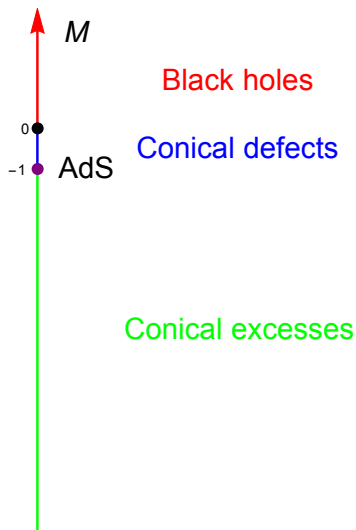
Wilson loop trivial! $W[C] = (-1)^n \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$

$$h = -\frac{cn^2}{24} + \frac{1}{24}(n-1)(1+13n) + \dots$$

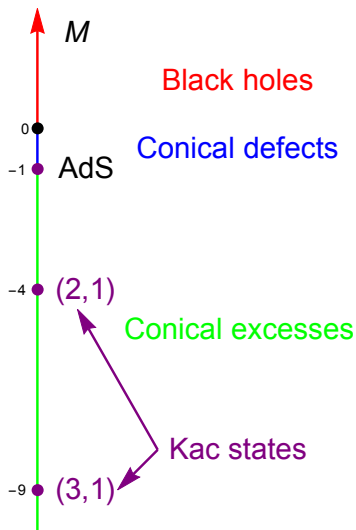
Annihilated by $L_{-n} - \frac{6}{c} \sum_{m=1}^{n-1} \frac{L_{-m}L_{m-s}}{m(m-s)} + \dots$

Dual to $|(n, 1)\rangle$ in Kac table!

SUMMARY SO FAR



SUMMARY SO FAR



OTHER $|r, s\rangle$ KAC STATES?

- Add matter: 2×2 matrices C, \tilde{C}

$$\begin{aligned}dC + AC - C\tilde{A} &= 0 \\d\tilde{C} - A\tilde{C} + \tilde{C}\tilde{A} &= 0\end{aligned}$$

- Single-particle excitations describe $|r, 2\rangle$ Kac states
- Multi-particle excitations describe general $|r, s\rangle$ Kac states

- Massless higher spins in D dimensions: physical polarizations sit in traceless symmetric tensor

$$h_{i_1 \dots i_s}, \quad h_{j j i_3 \dots i_s} = 0 \quad i_r = 1, \dots, D - 2$$

- No physical polarizations in $D = 3$!

CHERN-SIMONS DESCRIPTION

- Chern-Simons gauge theory with gauge group $SL(N, \mathbb{R}) \times SL(N, \mathbb{R})$:

$$F \equiv dA + A \wedge A = 0, \quad \tilde{F} \equiv d\tilde{A} + \tilde{A} \wedge \tilde{A} = 0$$

- Describes spins $2, 3, \dots, N$: under $SL(N, \mathbb{R}) \supset SL(2, \mathbb{R})$

$$\text{adj} = \mathbf{3} \oplus \mathbf{5} \oplus \dots \oplus \mathbf{N}$$

- Symmetry algebra extends from Virasoro to \mathcal{W}_N

KAC STATES VERSUS CLASSICAL SOLUTIONS

- Kac-like short representations labelled by two $sl(N)$ Young tableaux

$$|\Lambda_1, \Lambda_2\rangle$$

e.g. $N = 3$: $\Lambda =$ , , , , ...

- Solutions with trivial Wilson loop are classified by single Young diagram Λ
- Correspond to states $|\Lambda, 0\rangle$ in CFT. Evidence from:
 - Higher spin charges at large c [Campoleoni et. al. 2013](#)
 - Symmetries
 - Correlation functions [Hijano et. al. 2013](#)
- Adding matter gives general $|\Lambda_1, \Lambda_2\rangle$ states

THE LIGHT STATE ENIGMA

- There exists a Lie algebra $sl(\lambda)$, for any $\lambda \in \mathbb{R}$:

$$\begin{aligned} \text{generated by} & \quad J_0, J_1, J_2 \\ \text{relations} & \quad [J_a, J_b] = \epsilon_{ab}^c J_c \\ & \quad -J_0^2 + J_1^2 + J_2^2 = \frac{1}{4}(\lambda^2 - 1) \end{aligned}$$

- There exists $sl(\lambda) \times sl(\lambda)$ higher spin theory (spins 2, 3, ...) coupled to matter (spin 0) Prokushkin, Vasiliev 1998
- For $0 \leq \lambda \leq 1$, dual to unitary CFT Gaberdiel, Gopakumar 2010

$$\frac{SU(N)_k \times SU(N)_1}{SU(N)_{k+1}}, \quad N, k \rightarrow \infty, \lambda = \frac{N}{N+k} \text{ fixed}$$

Symmetry algebra is $\mathcal{W}_\infty[\lambda]$, see T. Procházka's work.

- Contains mysterious light states $|\Lambda, \Lambda\rangle$. Our work \rightarrow possibly present in higher spin theory as a kind of nonperturbative states.

String Theory as a Higher Spin Theory

Matthias R. Gaberdiel^a and Rajesh Gopakumar^b

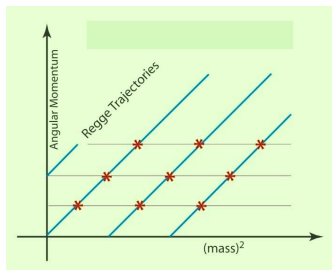
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ABSTRACT: The symmetries of string theory on $\text{AdS}_3 \times S^3 \times T^4$ at the dual of the symmetric product orbifold point are described by a so-called Higher Spin Square (HSS). We show that the massive string spectrum in this background organises itself in terms of representations of this HSS, just as the matter in a conventional higher spin theory does so in terms of representations of the higher spin algebra. In particular, the entire untwisted sector of the orbifold can be viewed as the Fock space built out of the multiparticle states of a *single* representation of the HSS, the so-called ‘minimal’ representation. The states in the twisted sector can be described in terms of tensor products of a novel family of representations that are somewhat larger than the minimal one.

STRING THEORY AND HIGHER SPINS

- String theory is a massive higher spin theory



- Higher spin fields become massless in tensionless limit
- Hints of hidden massless higher spin gauge symmetry, spontaneously broken in Minkowski vacuum

STRING THEORY IN ITS MOST SYMMETRIC PHASE

- Vasiliev's work: higher spin theories live in AdS background
- AdS_3 is special: symmetries are enlarged \rightarrow \mathcal{W} -symmetry
- Gaberdiel-Gopakumar's concrete candidate: tensionless limit of strings on

$$AdS_3 \times S^3 \times T^4$$

- can study through dual CFT, the 'symmetric orbifold of T^4 '

$$\frac{(T^4)^N}{S^N}$$

in large N limit

THE GAUGE ALGEBRA OF STRING THEORY

- Harmonic oscillators from 4 real bosonic and 4 real fermionic fields: $i = 1, \dots, 4, m \in \mathbb{N}_0, r \in \mathbb{N} + \frac{1}{2}$

$$\left[a_m^i, \left(a_n^j \right)^\dagger \right] = \delta^{ij} \delta_{mn} \quad \left\{ \psi_r^i, \left(\psi_s^j \right)^\dagger \right\} = \delta^{ij} \delta_{rs}$$

- Lie algebra of operators which annihilate both the in- and out-Fock vacuum
- Basis: normal-ordered monomials with at least one creation- and annihilation operator
E.g. $(a_1^1)^\dagger a_5^3, \quad (a_3^2)^\dagger (\psi_1^1)^\dagger \psi_4^3, \dots$
- Called 'higher spin square' or *HSS*

THE STRINGY HIGHER SPIN THEORY

- Chern-Simons gauge fields A, \tilde{A} valued in HSS

$$F \equiv dA + A \wedge A = 0, \quad \tilde{F} \equiv d\tilde{A} + \tilde{A} \wedge \tilde{A} = 0$$

- Matter: scalar fields C, \tilde{C} valued in HSS

$$\begin{aligned} dC + AC - C\tilde{A} &= 0 \\ d\tilde{C} - A\tilde{C} + \tilde{C}\tilde{A} &= 0 \end{aligned}$$

- Checked conjecture that spectrum accounts for full ‘untwisted sector’ of CFT

- Systematic quantization of 'conical' solutions
- Multi-centered solutions
- Adding interactions to the higher spin square theory

Thank you!