

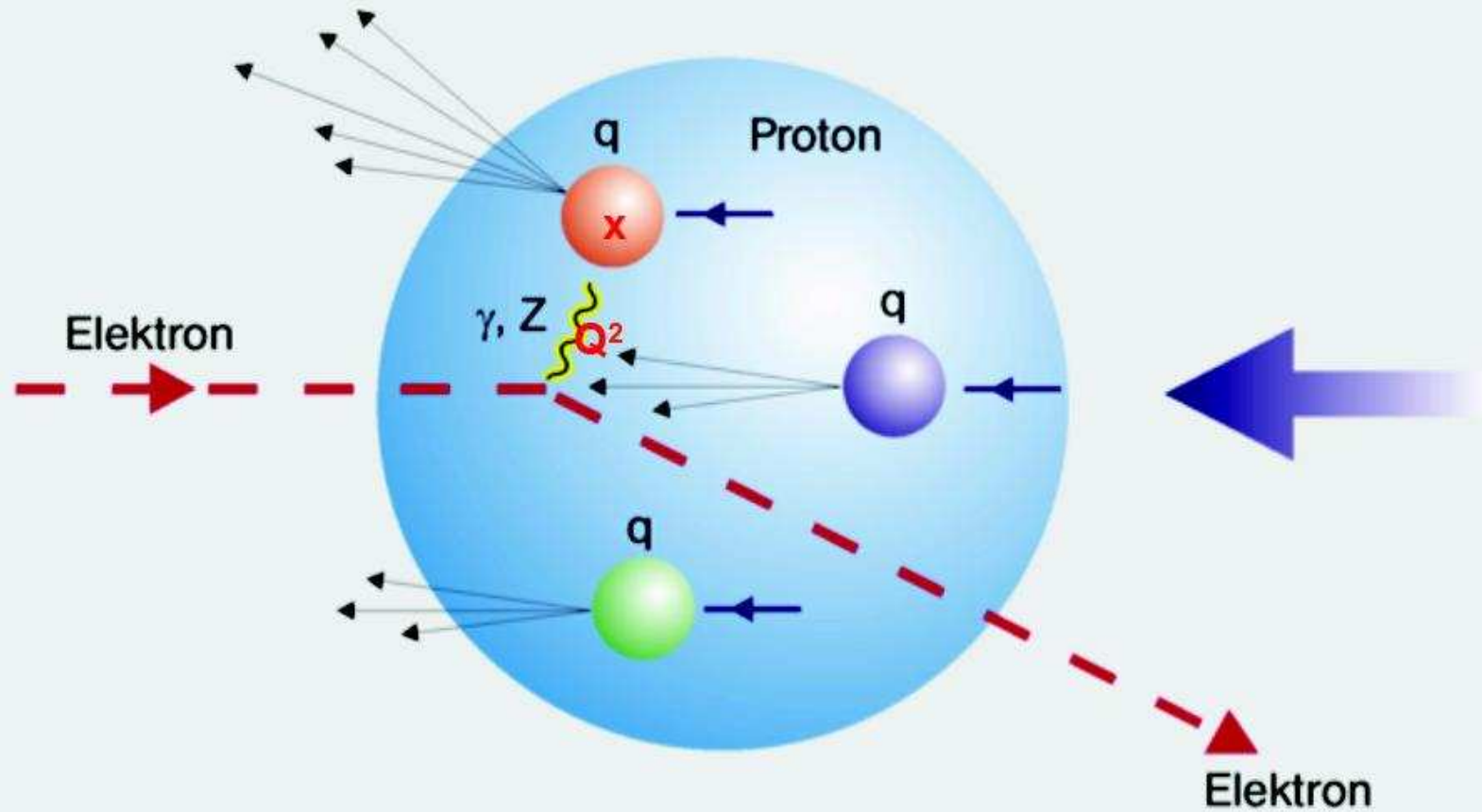


Sergey Levonian  
DESY, Hamburg

# The Legacy of HERA



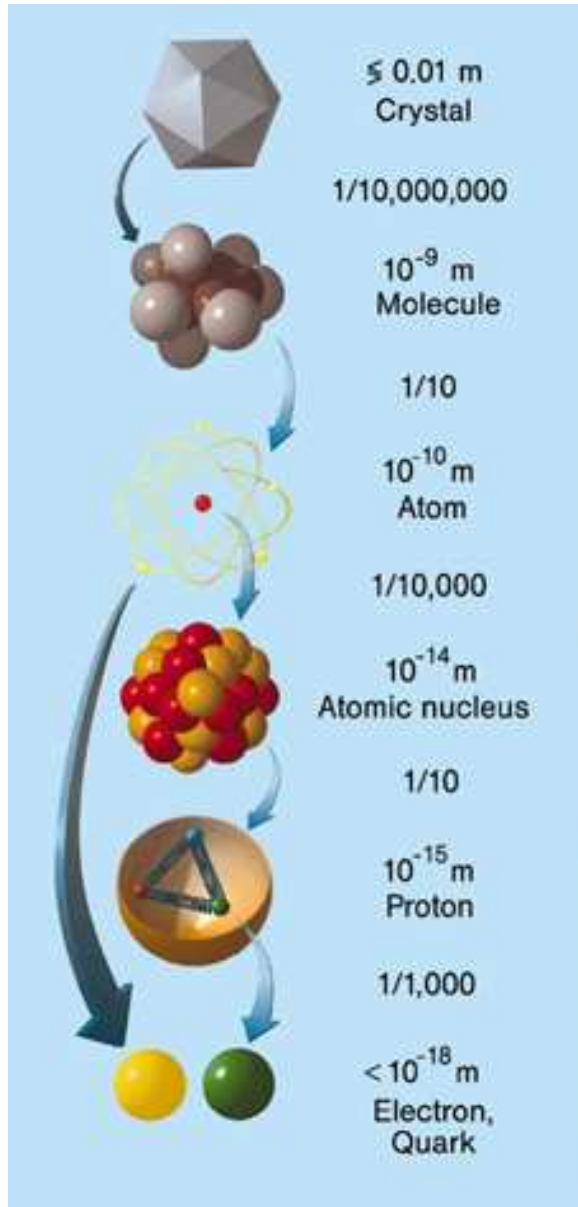
# Deep-Inelastic Scattering



**Kinematics  $x$ ,  $Q^2$  can be measured from the detected particles**

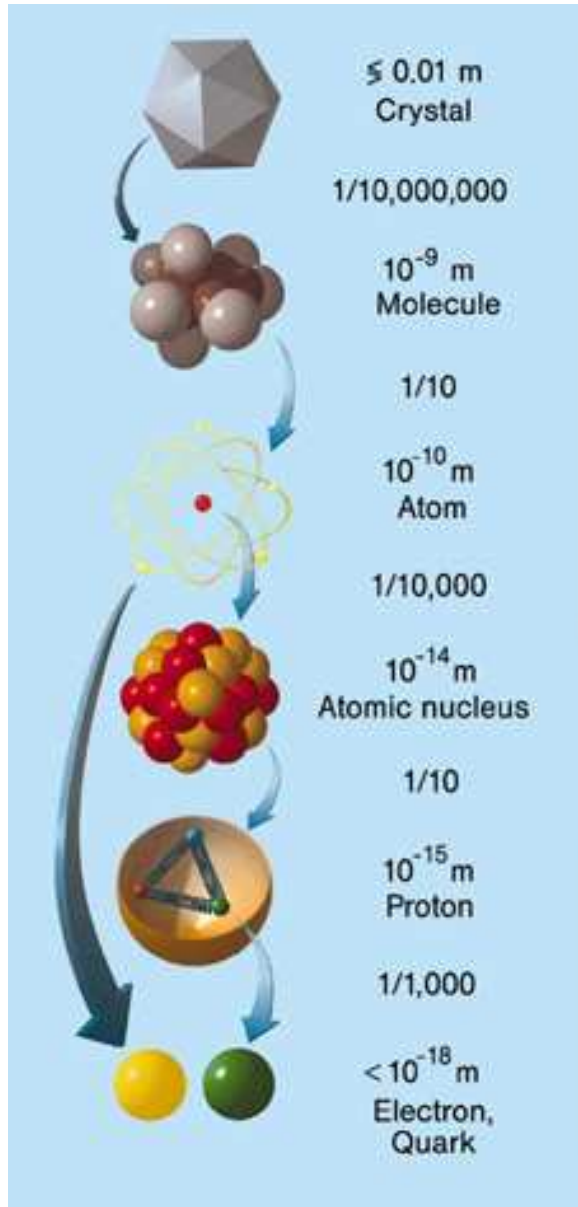
**Charged current interactions also accessible (neutrino in the final state)**

## Looking deeper inside matter



$$\lambda = h/p \sim \frac{1}{E}$$

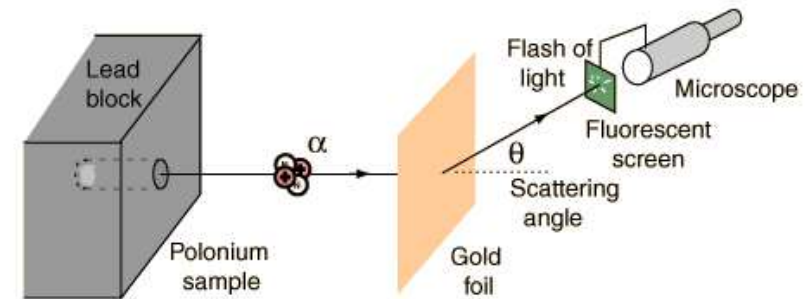
## Looking deeper inside matter



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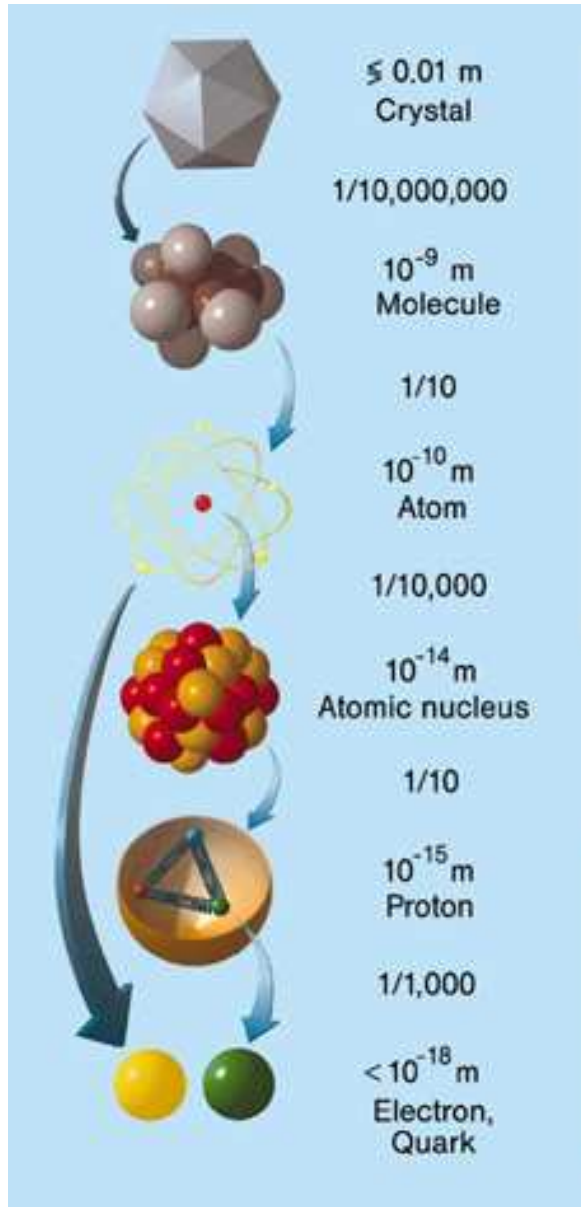
## Rutherford (1911)

- elastic (Coulomb) scattering of 7 MeV  $\alpha$  on  $Au$
- planetary model of atom  $\Rightarrow$  QM



$$\frac{d\sigma}{d \cos\theta} = \frac{\pi}{2} z^2 Z^2 \alpha^2 \left( \frac{\hbar c}{KE} \right)^2 \frac{1}{(1 - \cos\theta)^2} ; \quad N(\theta) \sim \frac{1}{\sin^4(\theta/2)}$$

# Looking deeper inside matter



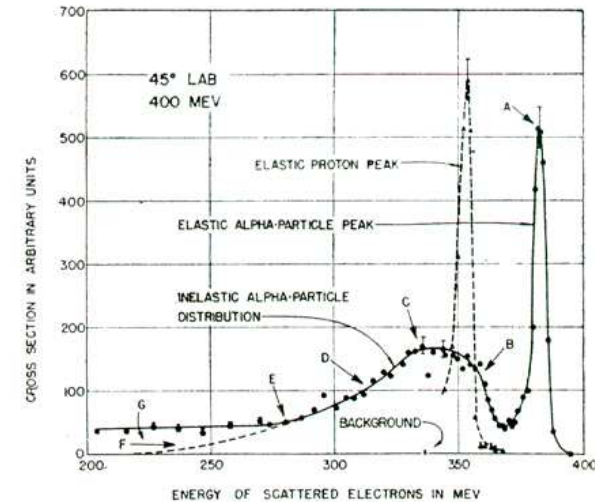
$$\lambda = h/p \sim \frac{1}{E}$$

## Rutherford (1911)

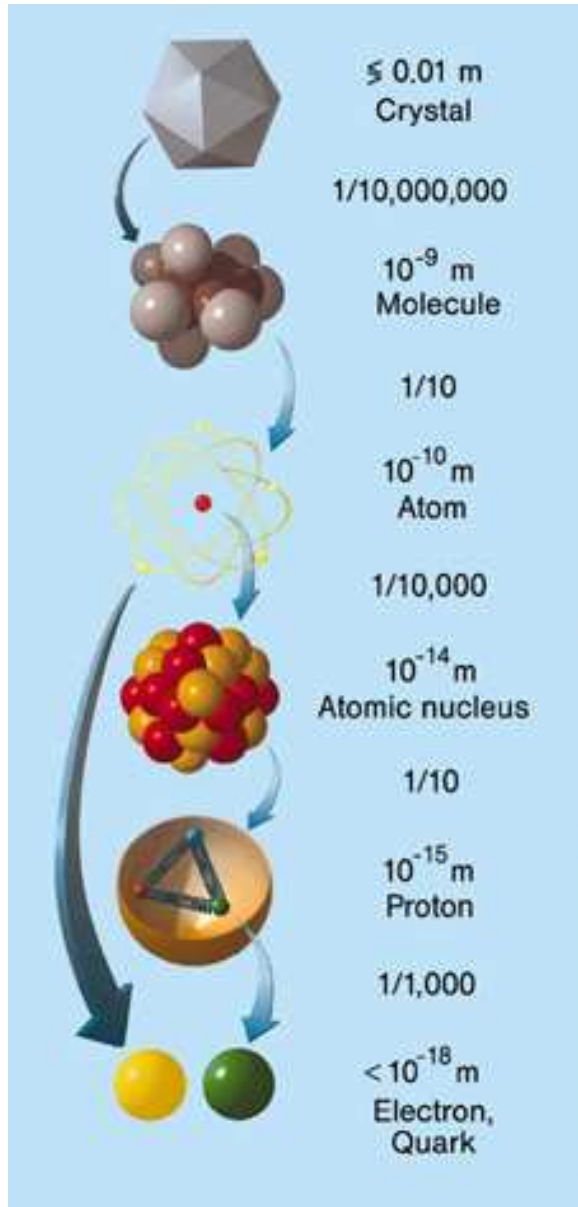
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## Hofstadter (1953)

- (in)elastic  $eN$  scattering of 400 MeV electrons
- Nucleus structure; size of  $A, p$



# Looking deeper inside matter



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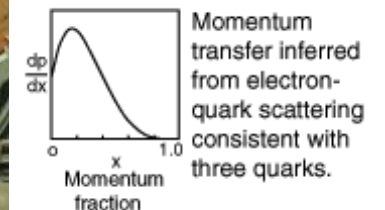
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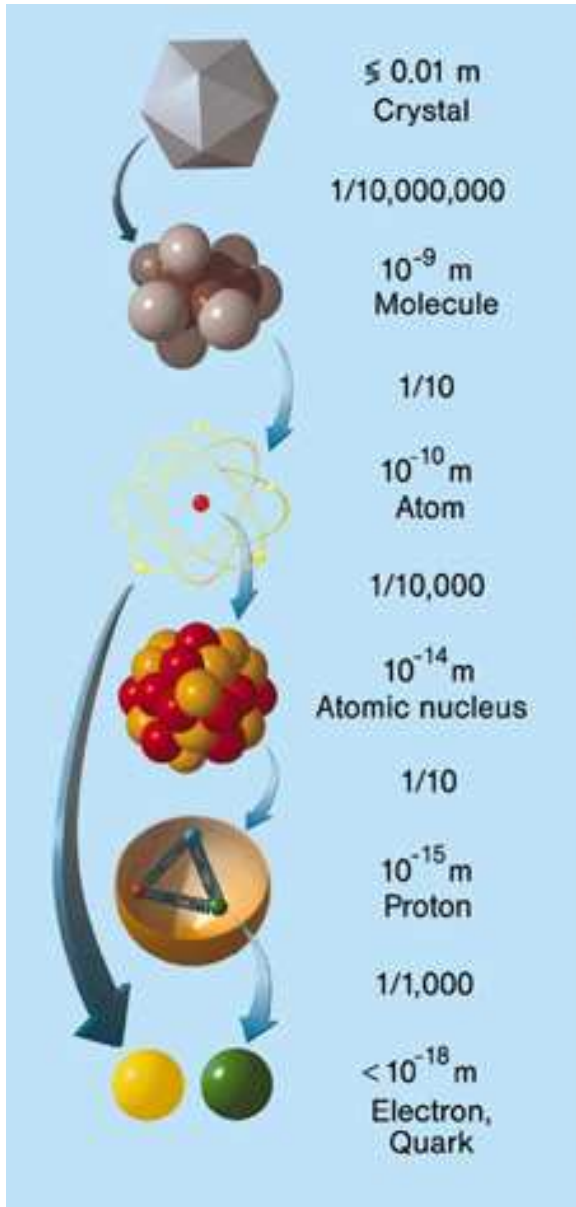
- (in)elastic  $eN$  scattering of 400 MeV electrons
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## SLAC (1968)

- Fixed target DIS with 20 GeV electron beam
- internal structure of hadrons
- experimental evidence for quarks



## Looking deeper inside matter



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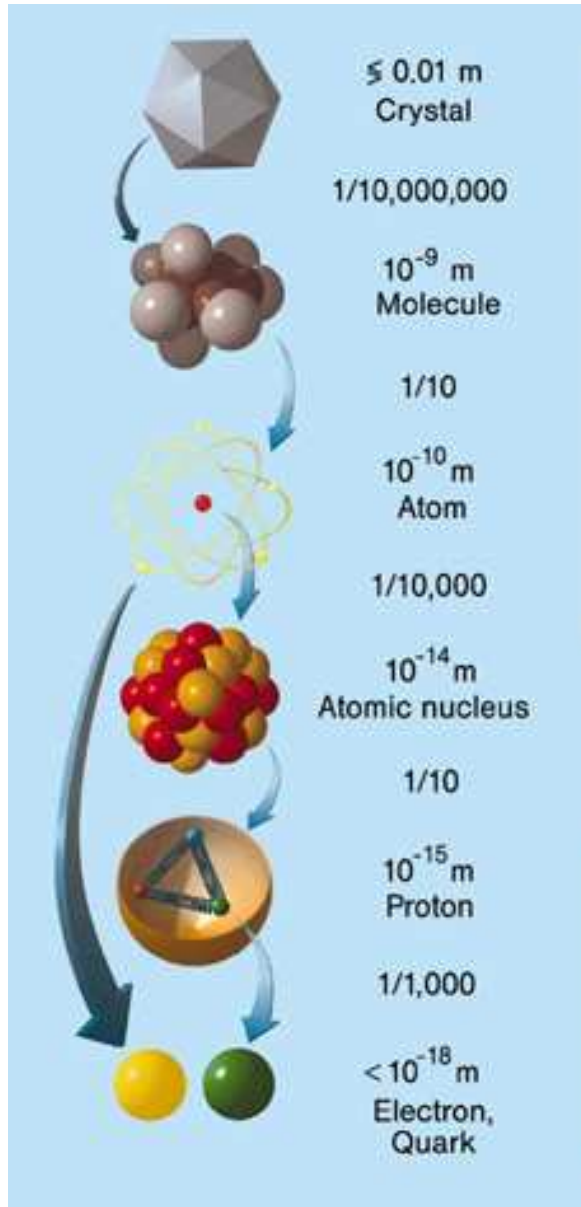
## SLAC (1968)

- Fixed target DIS with 20 GeV electron beam
- internal structure of hadrons
- experimental evidence for quarks

## HERA (1992 – 2007)

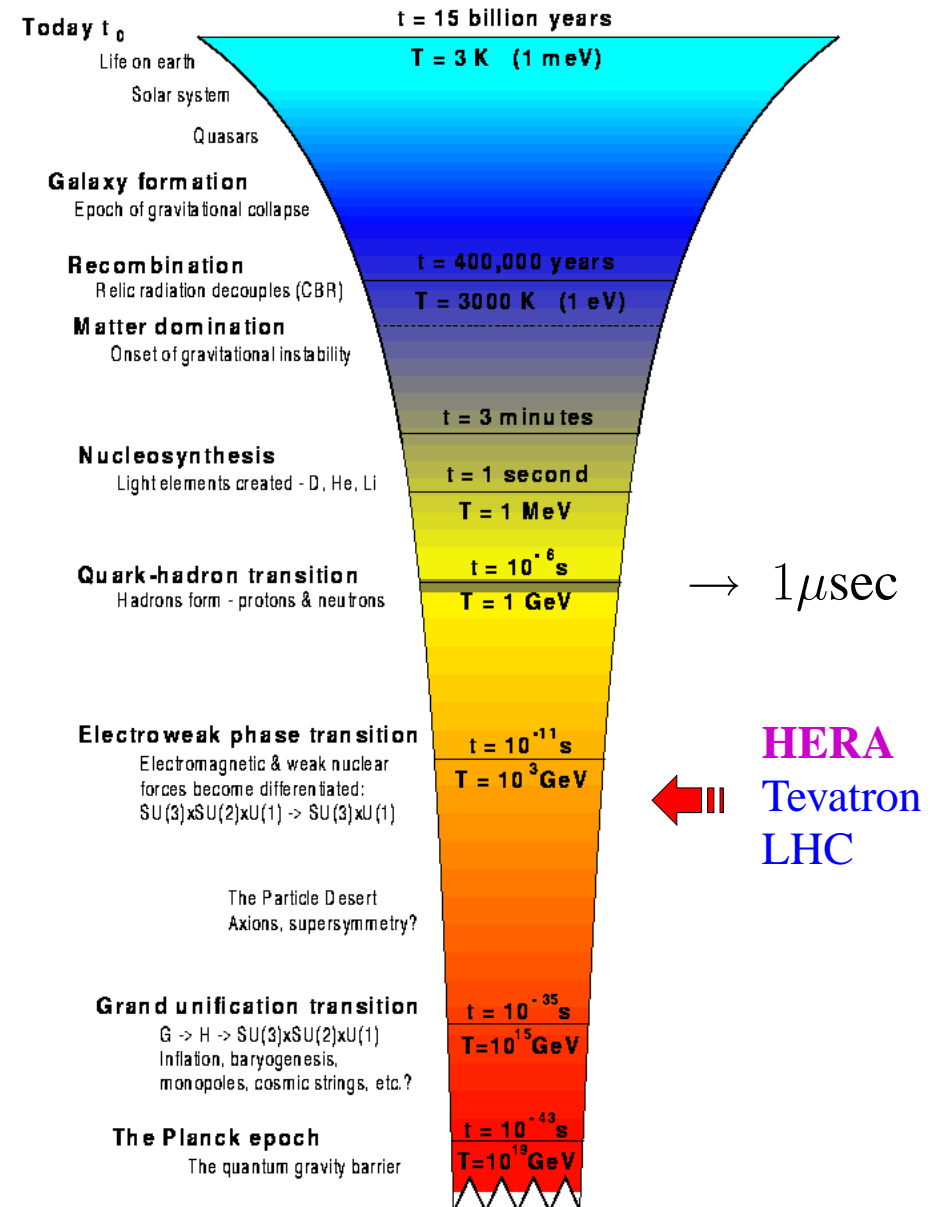
- first (and so far the only)  $ep$  collider
- energy frontier:  $\sqrt{s} = 319$  GeV
- resolution power down to  $10^{-18}$ m
- QCD in low  $x$  regime ( $x > 10^{-5}$ )

# Looking deeper inside matter



$$\lambda = h/p \sim \frac{1}{E}$$

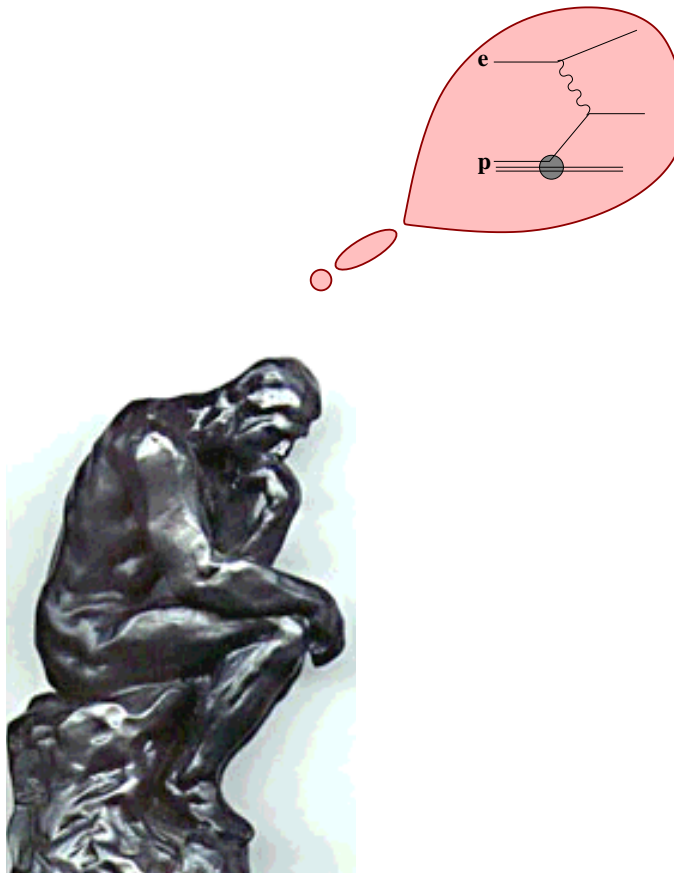
# Restoring Universe Evolution



$$E \sim T$$



In the beginning there was the Idea...

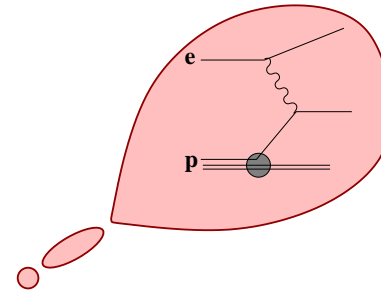


1979

**...then a lot of Hard Work...**



**1984-1991**

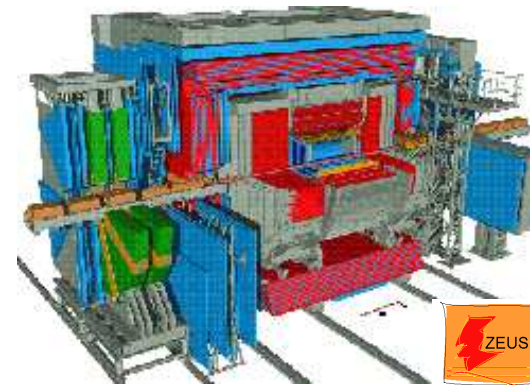
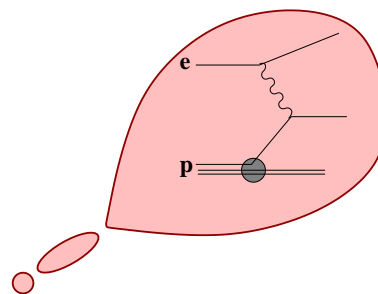


**1979**

# ...then a lot of Hard Work...



1984-1991



1986-1992



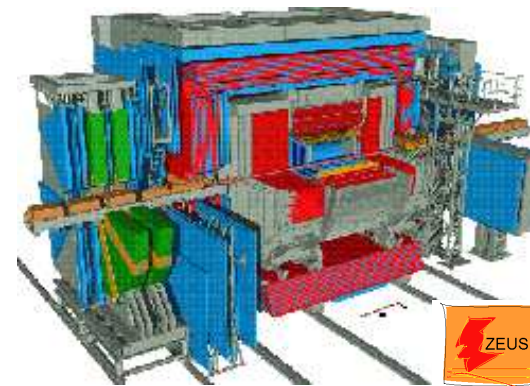
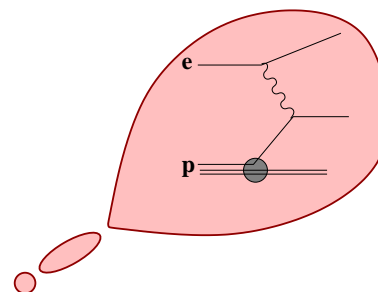
1979



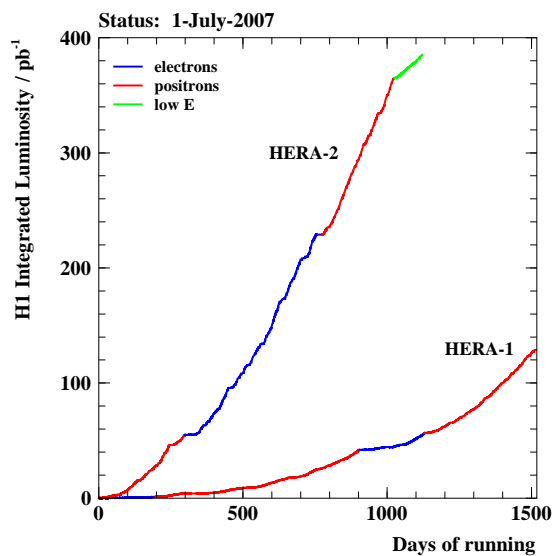
# ...then a lot of Hard Work...



1984-1991



1986-1992



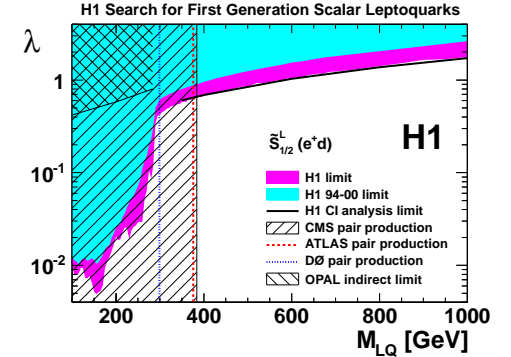
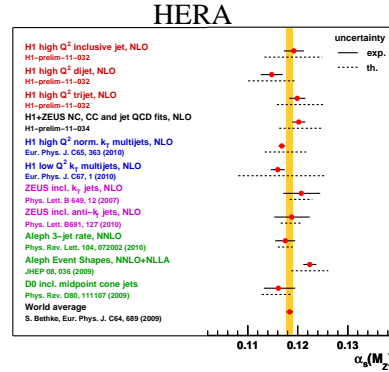
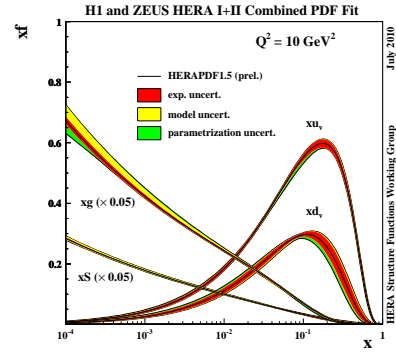
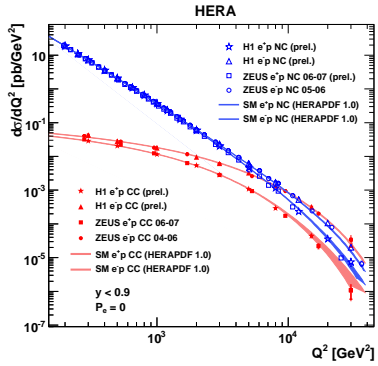
1992-2007



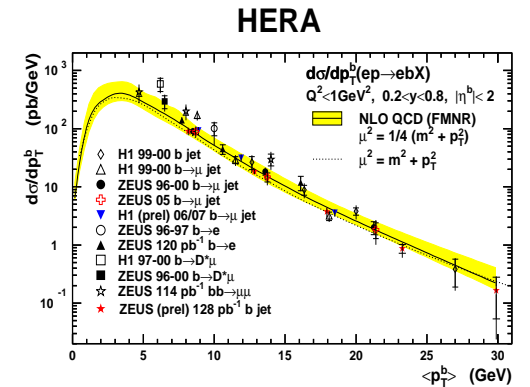
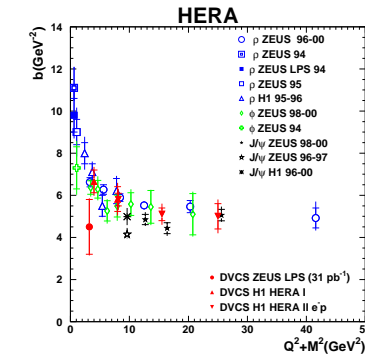
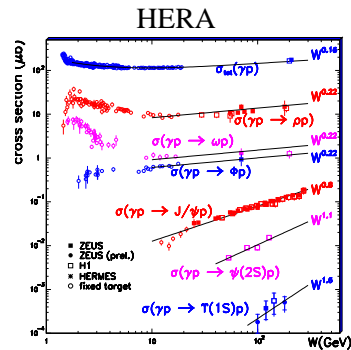
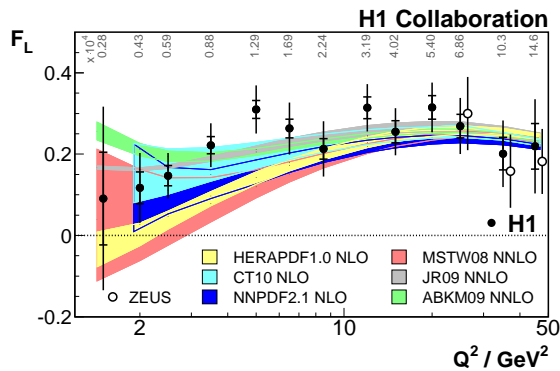
1979



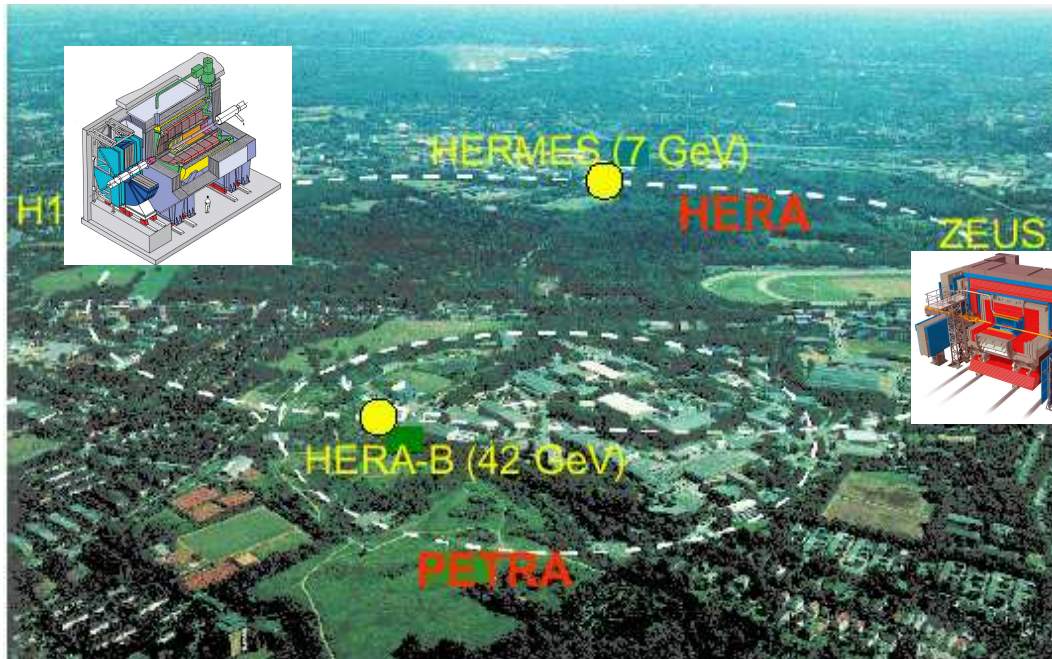
...and finally...



Lots of Textbook results



# HERA: The World's Only ep Collider

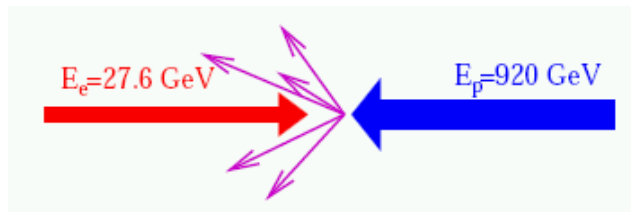


**HERA-1** (1993-2000)  $\simeq 120 \text{ pb}^{-1}$

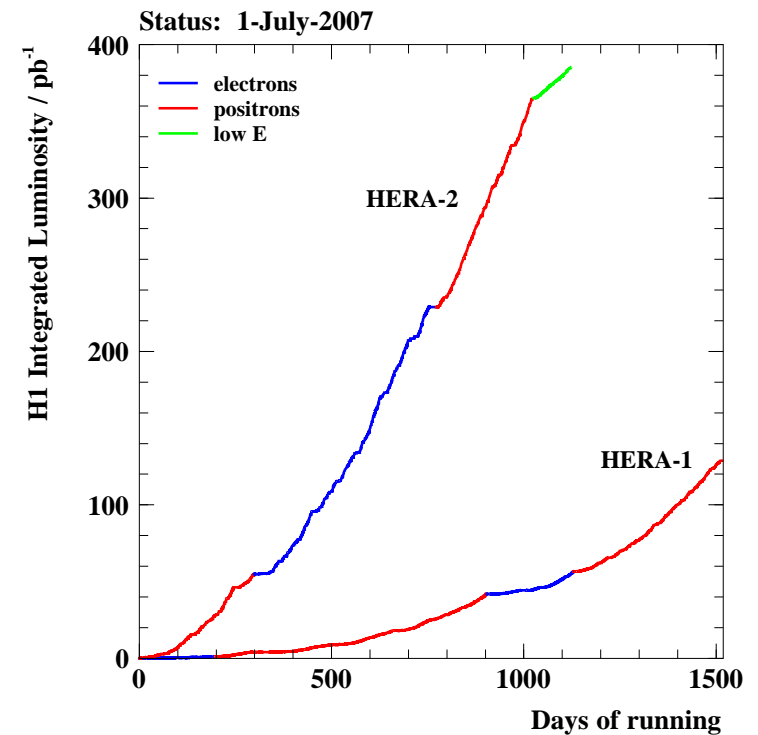
**HERA-2** (2003-2007)  $\simeq 380 \text{ pb}^{-1}$

Final Data samples

H1+ZEUS:  $2 \times 0.5 \text{ fb}^{-1}$

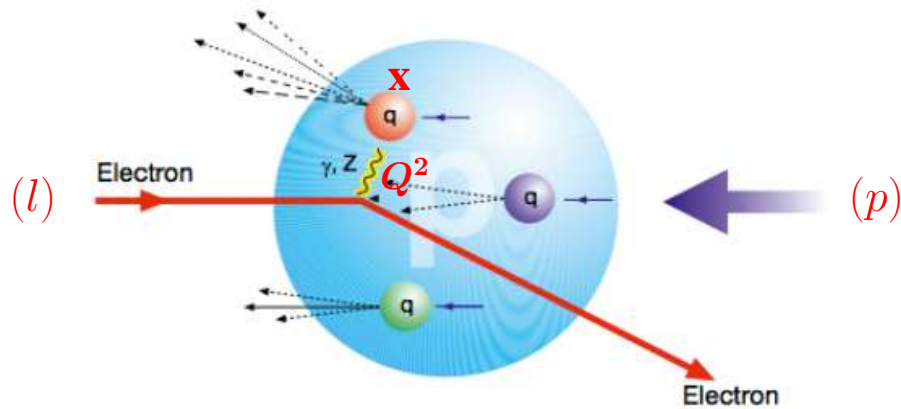


- 1998  $E_p$  upgrade:  $820 \Rightarrow 920 \text{ GeV}$   
( $\sqrt{s}$  :  $301 \Rightarrow 319 \text{ GeV}$ )
- 2001 HERA-2 upgrade:  $\mathcal{L} \times 3$ , Polarised  $e^+/e^-$   
( $\langle P \rangle = 40\%$ )

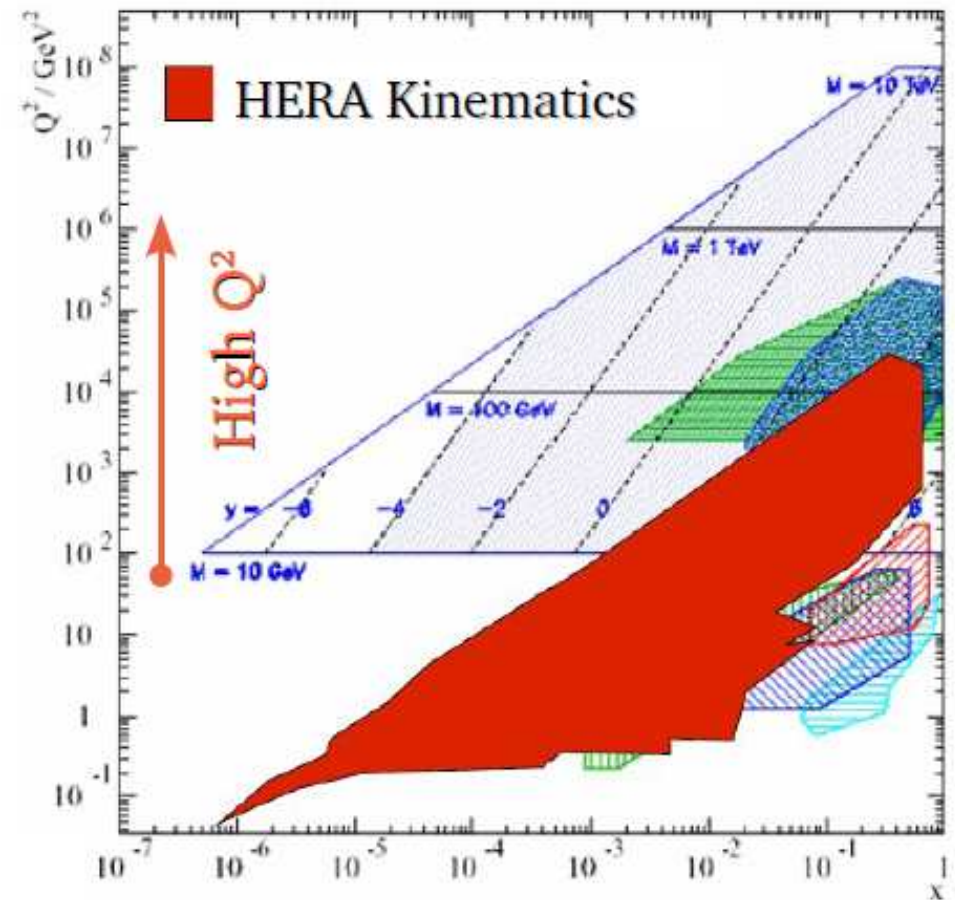
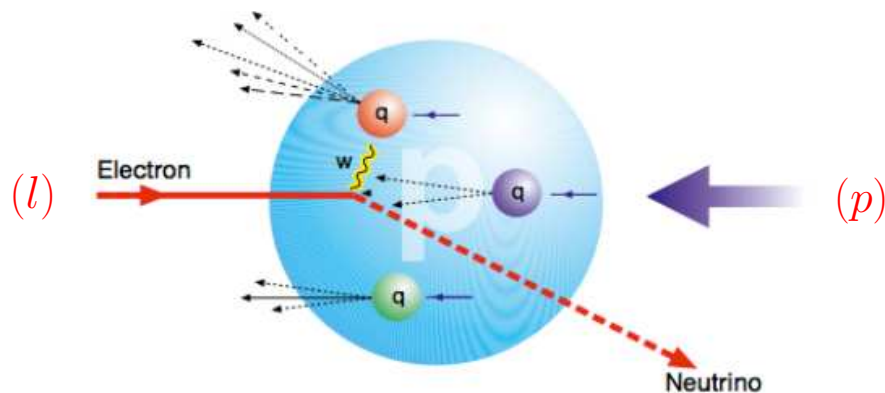


# Deep-Inelastic Scattering at HERA

Neutral Current DIS:  $ep \rightarrow e'X$



Charged Current DIS:  $ep \rightarrow \nu X$



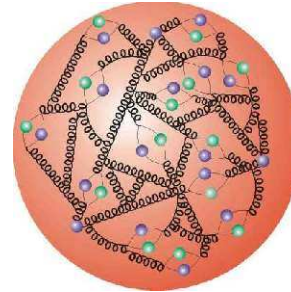
Kinematics: (Momentum transfer)<sup>2</sup>:  $Q^2 = -q^2$   
 Bjorken  $x$ :  $x = Q^2 / (2p \cdot q)$   
 Inelasticity:  $y = (p \cdot q) / (p \cdot l)$   
 (Total hadronic energy)<sup>2</sup>:  $W^2 = (p + q)^2$   
 $W^2 \simeq Q^2 / x$

# Physics landscape at HERA

---

- **HERA as Super-microscope**

- ▷ Proton structure at high resolution
- ▷ Impact for LHC



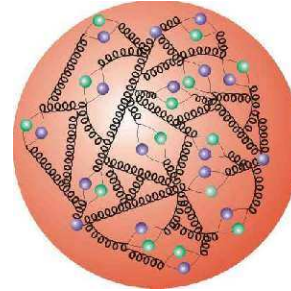


# Physics landscape at HERA

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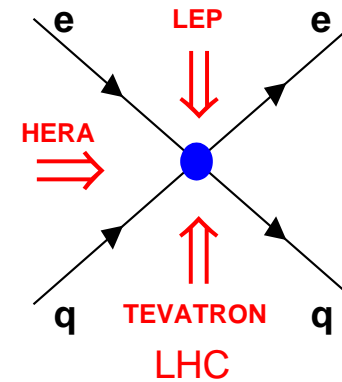
- **HERA as Super-microscope**

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- **HERA as Energy frontier machine**

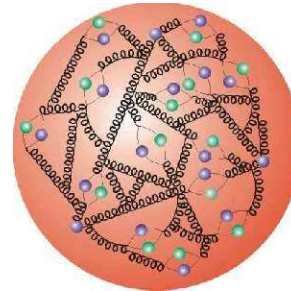
- ▷ Electroweak unification at work
- ▷ Anything beyond the Standard Model?



# Physics landscape at HERA

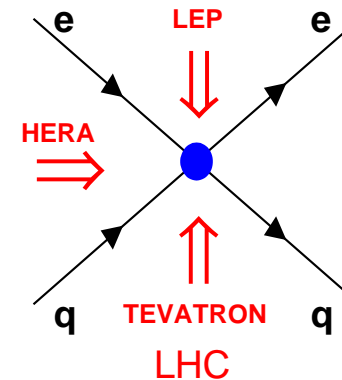
## • HERA as Super-microscope

- ▷ Proton structure at high resolution
- ▷ Impact for LHC



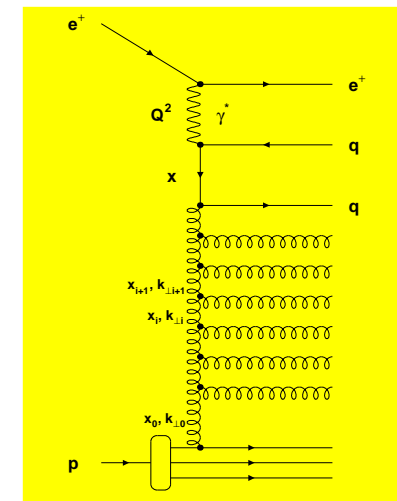
## • HERA as Energy frontier machine

- ▷ Electroweak unification at work
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## • HERA as QCD laboratory

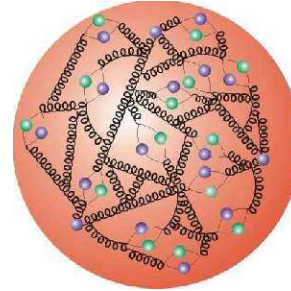
- ▷ Putting QCD in stringent tests with:
  - Jets (parton evolution schemes, NLO QCD,  $\alpha_s$ )
  - Heavy flavor sector (multiscale problem:  $Q^2$ ,  $M_Q$ ,  $E_t$ )
  - Diffraction (interplay of soft and hard physics)
- ▷ HERA specifics:  $\log x$  physics



# Physics landscape at HERA

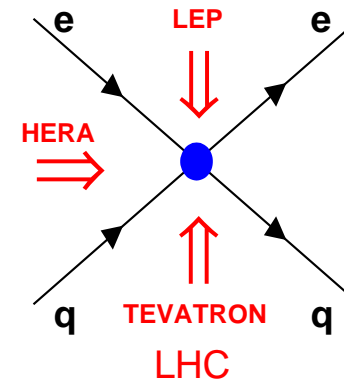
## • HERA as Super-microscope

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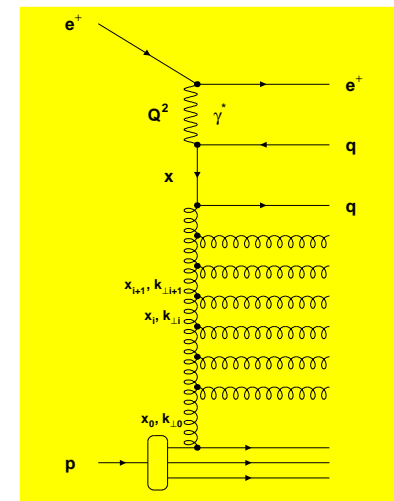


## • HERA as QCD laboratory

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  - Jets (parton evolution schemes, NLO QCD,  $\alpha_s$ )
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- ▷ HERA specifics:  $\log x$  physics

⇒ Search for Novel Phenomena

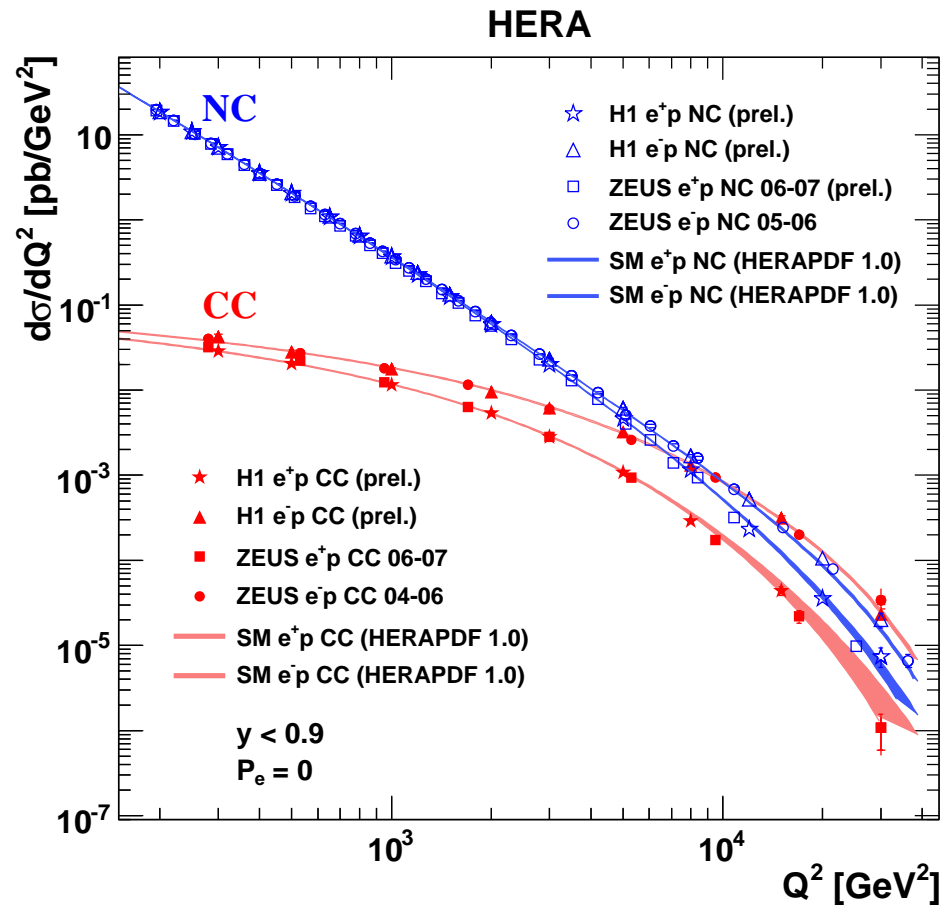
⇒ Precision Measurements



# **HERA as Energy Frontier Machine**

# HERA at Energy Frontier

## Unpolarized DIS cross sections

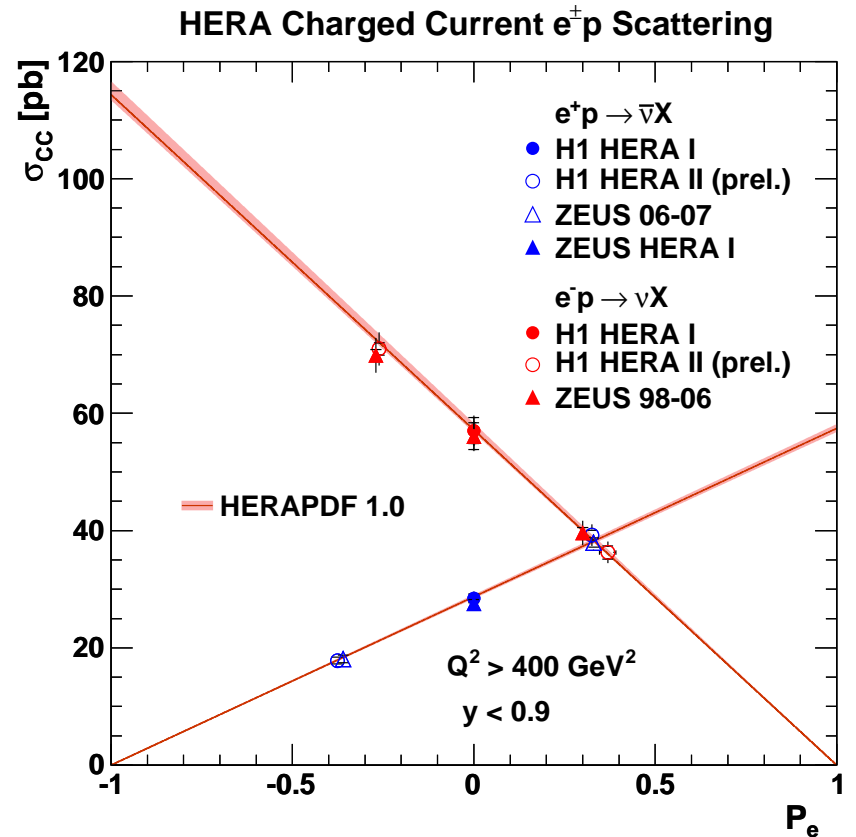
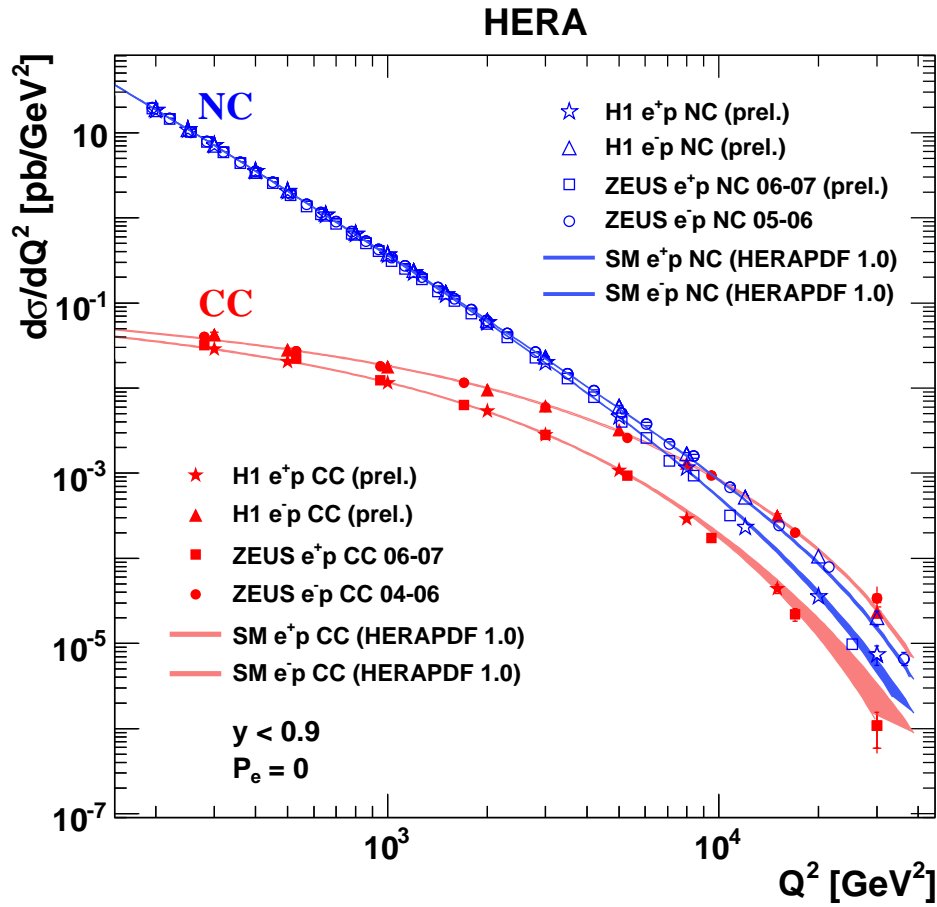


Electro-Weak Unification

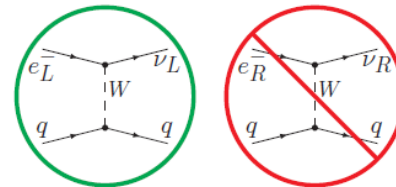
# HERA at Energy Frontier

Unpolarized DIS cross sections

$$\sigma_{\text{pol}}^{CC}(e^\pm p) = (1 \pm P_e) \cdot \sigma_{\text{unpol}}^{CC}(e^\pm p)$$



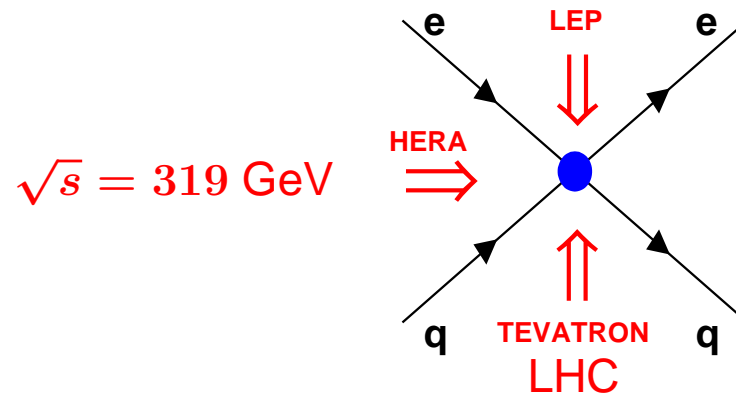
Electro-Weak Unification



No  $W$  coupling to  $e_R^-$  and  $e_L^+$

## Anything beyond the SM ?

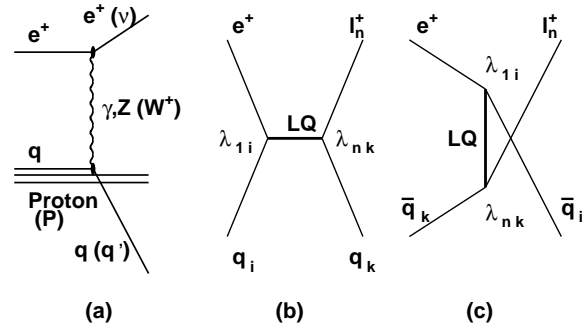
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So far all NC and CC HERA data were in good agreement with the SM.  
Try to look more carefully at the tails, using two strategies:

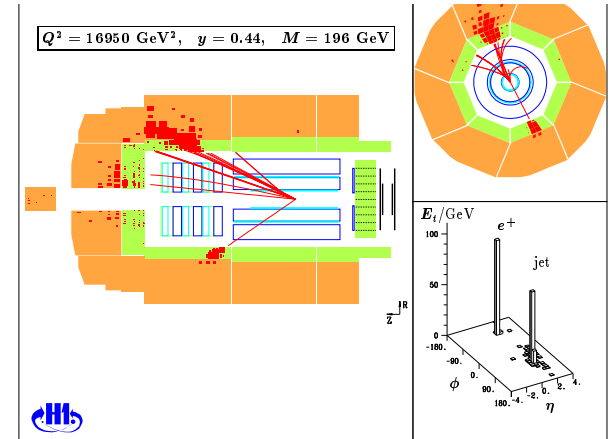
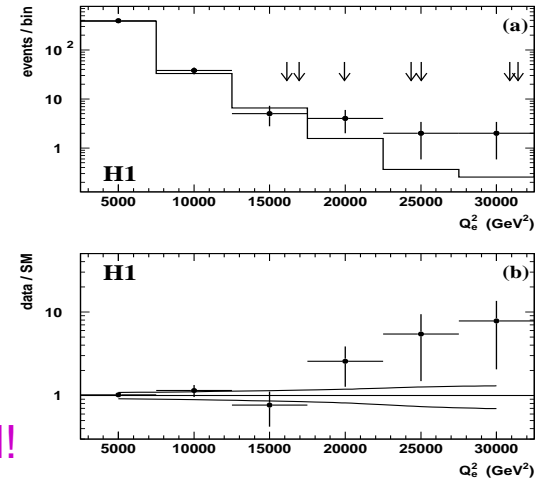
1. Specific BSM signals search (LQ, LFV, SUSY, ...) – guided by theory
2. Model independent generic search (data vs SM) – guided by data

# Leptoquarks ?



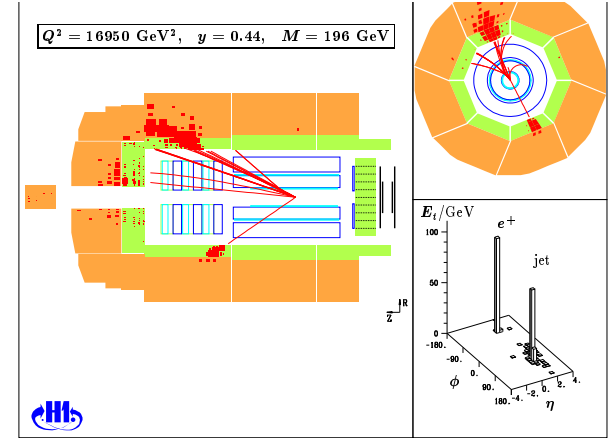
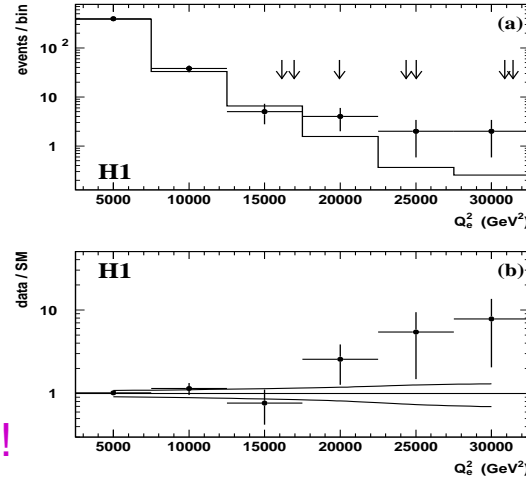
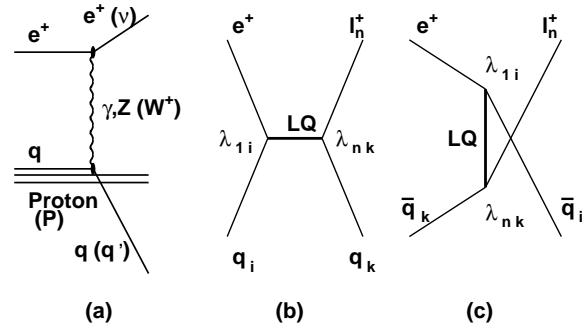
1994-97: High  $Q^2$  events.

Rate in excess of Standard Model!





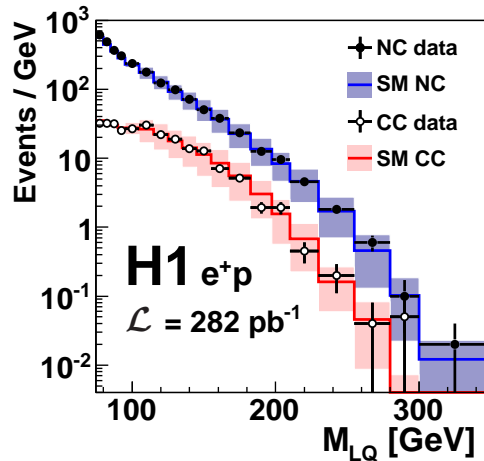
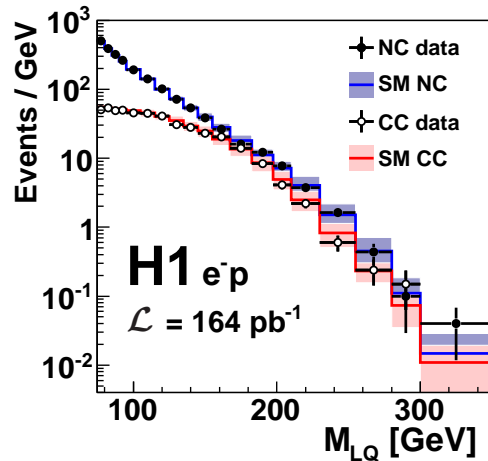
# Leptoquarks ?



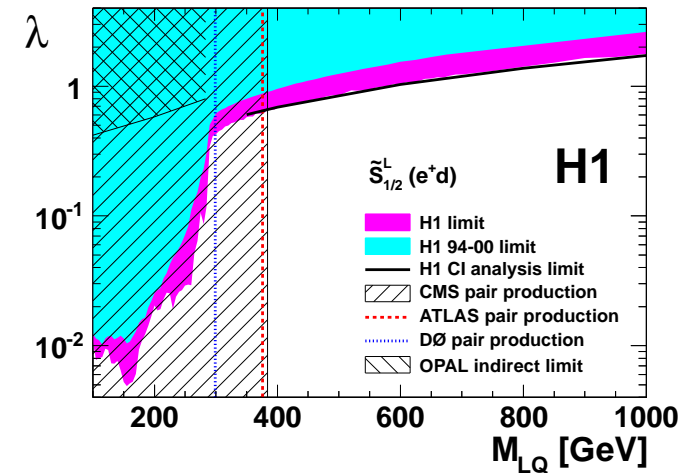
1994-97: High  $Q^2$  events.  
Rate in excess of Standard Model!

## 2011: Final status

H1 Search for First Generation Leptoquarks

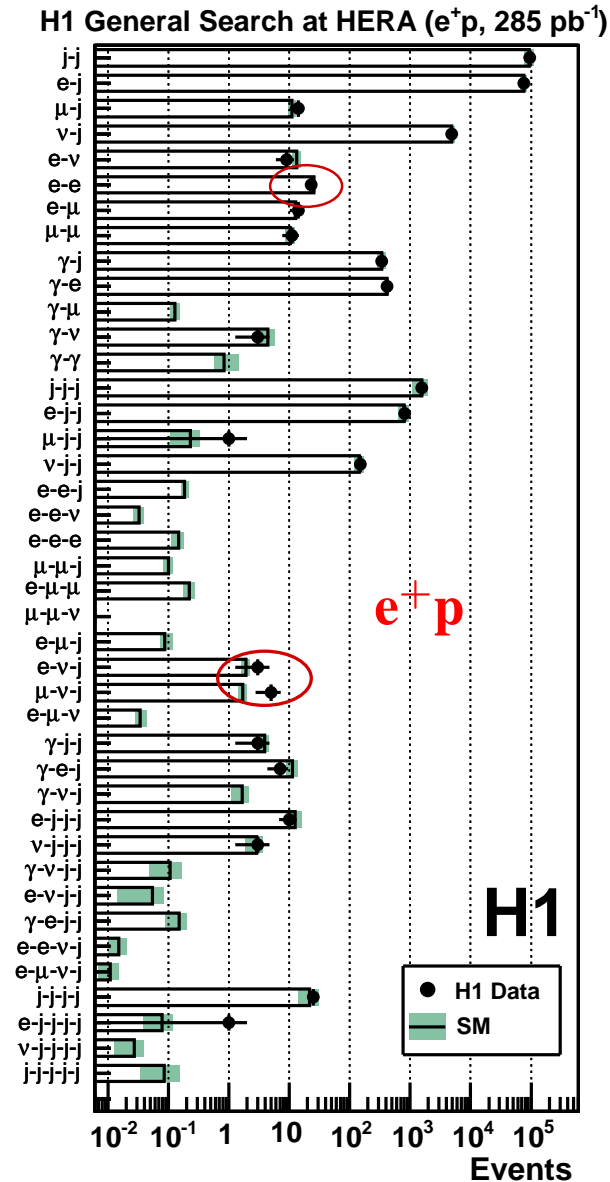


H1 Search for First Generation Scalar Leptoquarks



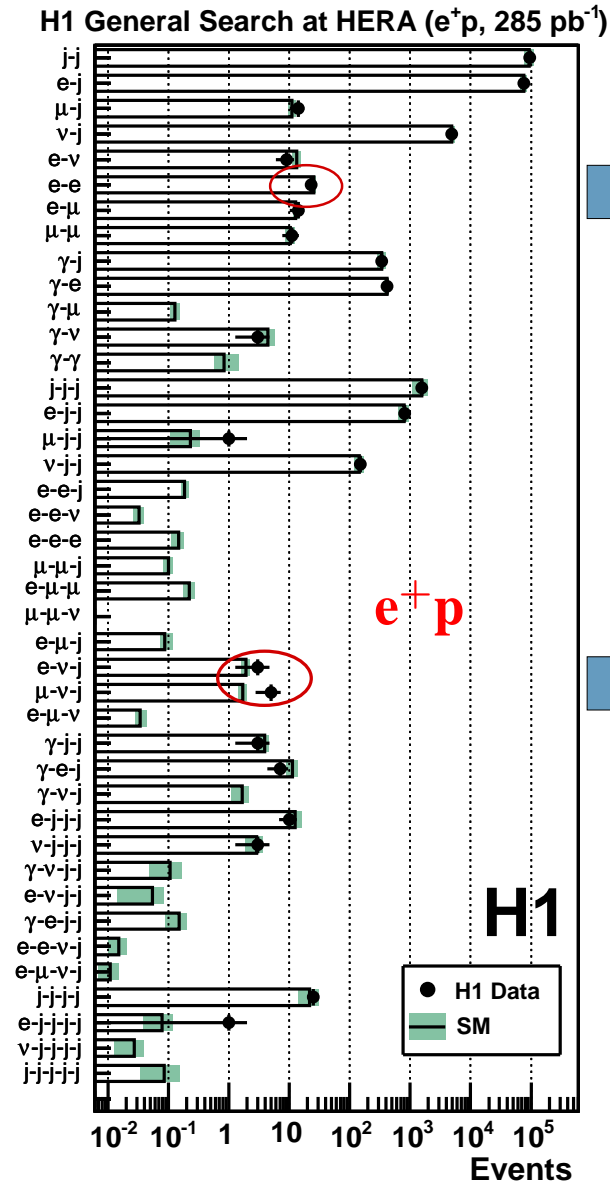
# Model independent search for New Phenomena

- Identify isolated objects:  
 $e, \mu, \gamma, j, \nu$
- Select events, having at least two objects with high  $P_T > 20\text{GeV}$
- Classify into exclusive channels containing from 2 to 5 objects
- Compare with SM predictions  
 $\Rightarrow$  **good overall agreement**
- Find interesting regions with greatest deviations from SM in kin. distributions ( $M_{\text{all}}, \Sigma P_T$ )  
 $\Rightarrow$  **Combine H1 and ZEUS data**

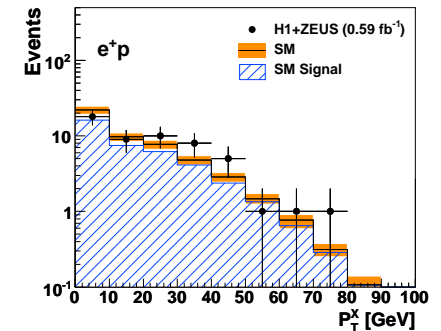
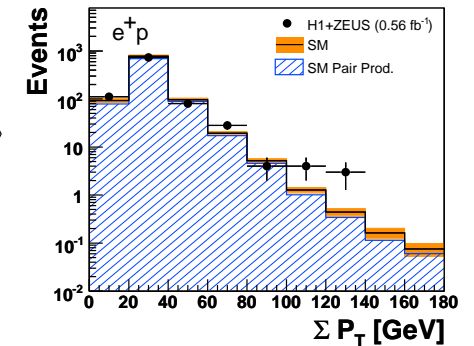


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 $\Rightarrow$  **Combine H1 and ZEUS data**



H1+ZEUS, 0.59  $\text{fb}^{-1}$



**Largest observed deviations from the SM at HERA**

**JHEP 0910:013 (2009)**  
**JHEP 1003:035 (2010)**

## **HERA at Energy Frontier:**

Standard Model is still in excellent shape!

# **HERA as Super-microscope**

# DIS: Cross sections and Structure Functions

NC

$$\frac{d\sigma_{NC}^{\pm}}{dx dQ^2} = \frac{2\pi\alpha^2}{x} \left[ \frac{1}{Q^2} \right]^2 \left[ Y_+ \tilde{F}_2 \mp Y_- x \tilde{F}_3 - y^2 \tilde{F}_L \right]$$

CC

$$\frac{d\sigma_{CC}^{\pm}}{dx dQ^2} = \frac{G_F^2}{4\pi x} \left[ \frac{M_W^2}{M_W^2 + Q^2} \right]^2 \left[ Y_+ \tilde{W}_2^{\pm} \mp Y_- x \tilde{W}_3^{\pm} - y^2 \tilde{W}_L^{\pm} \right]$$

$$Y_{\pm} = 1 \pm (1-y)^2$$

$$\tilde{F}_2 \propto \sum (xq_i + x\bar{q}_i)$$

Dominant contribution

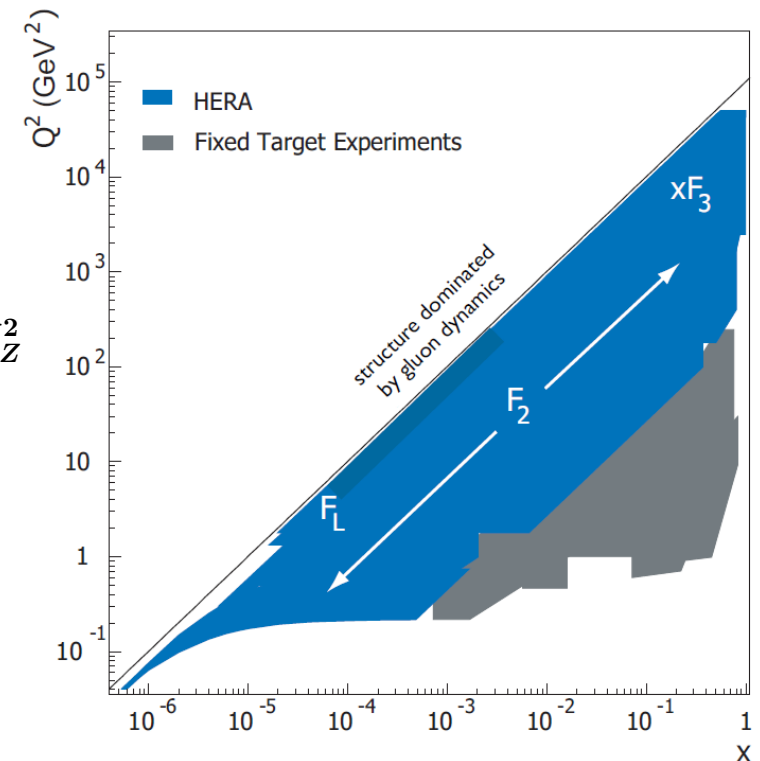
$$x\tilde{F}_3 \propto \sum (xq_i - x\bar{q}_i)$$

Only sensitive at high  $Q^2 \sim M_Z^2$ 

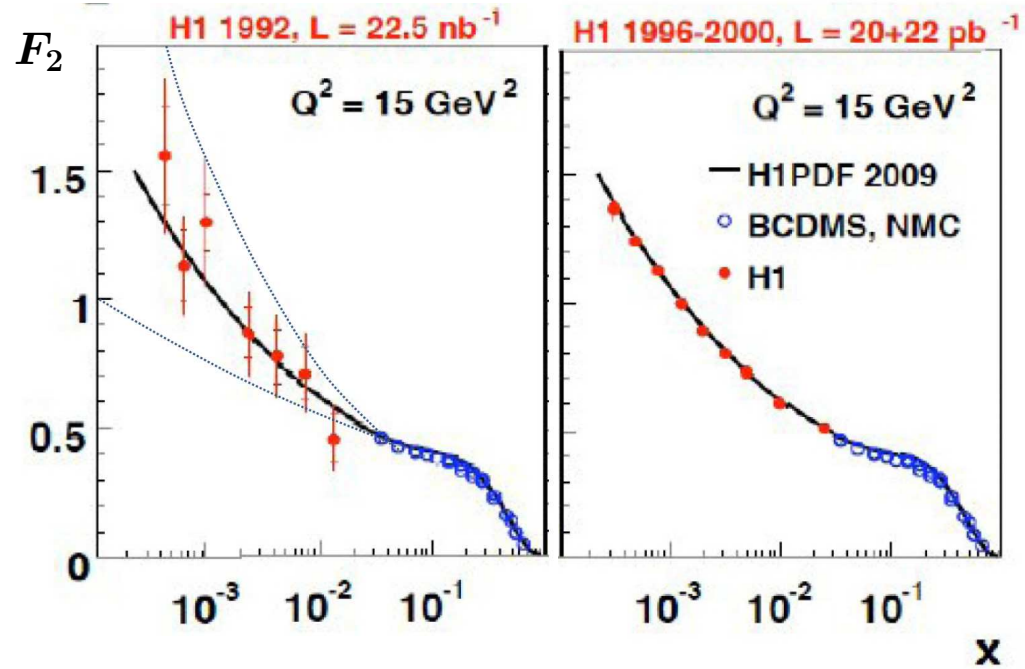
$$\tilde{F}_L \propto \alpha_s \cdot xg(x, Q^2)$$

Only sensitive at high  $y$ 

(similarly for pure weak CC analogues:  $W_2^{\pm}$ ,  $xW_3$  and  $W_L^{\pm}$ )

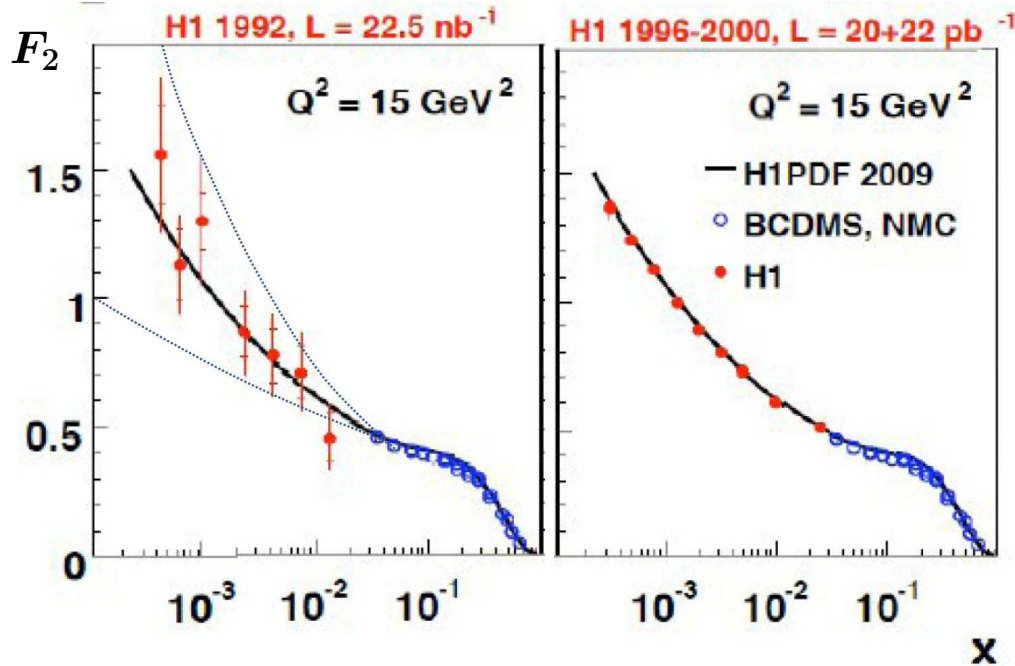


# Structure of the proton



dotted lines show the spread in predictions  
prior to HERA startup (1992)

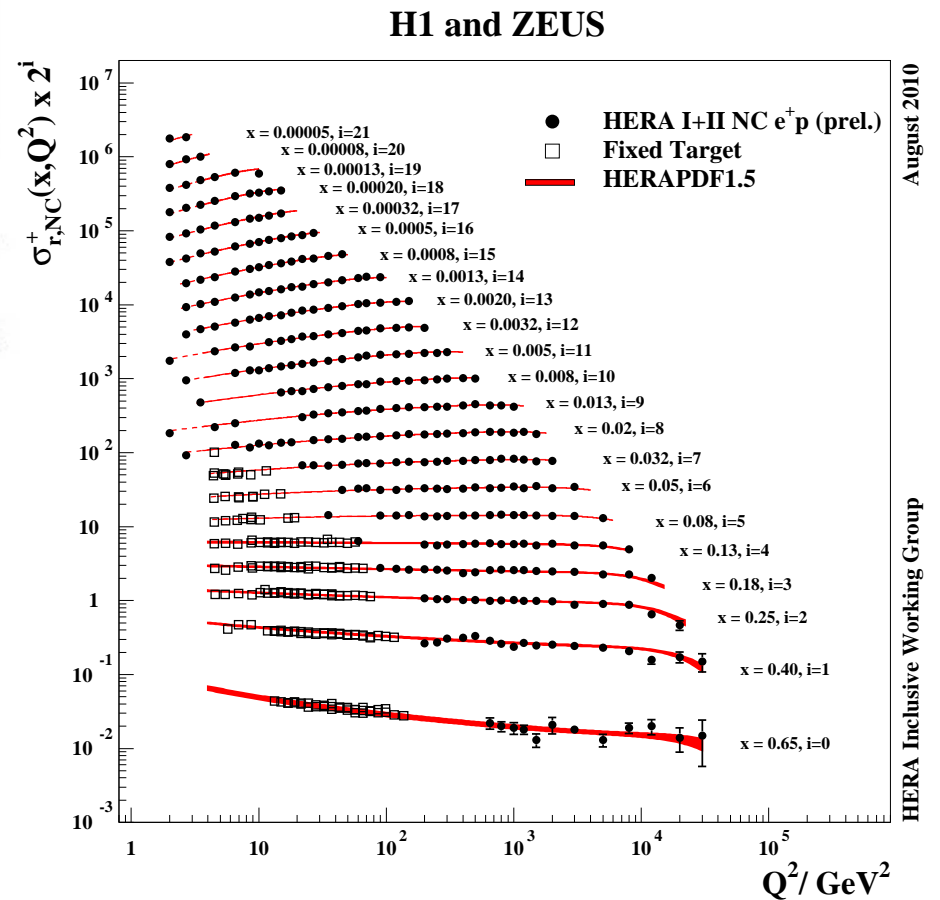
# Structure of the proton



dotted lines show the spread in predictions prior to HERA startup (1992)

- ⇒ Bjorken scaling regime at  $x > 0.05$
- ⇒ Large scaling violation at  $x < 0.01$

Combined HERA  $e^+p$  data  
( $\mathcal{L} = 2 \times 250 \text{ pb}^{-1}$ )

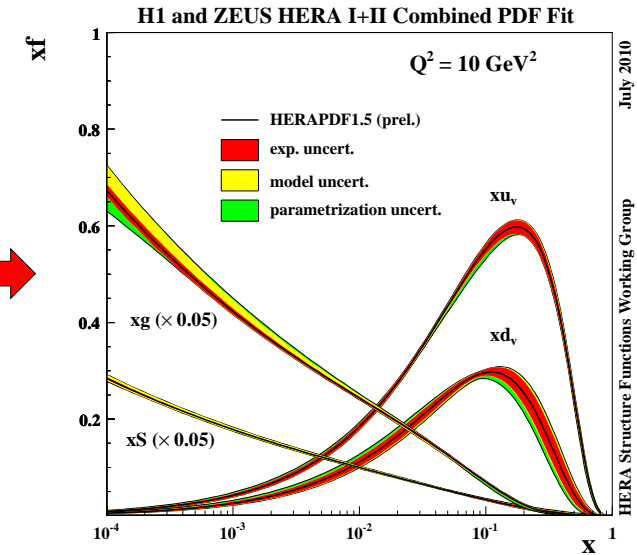
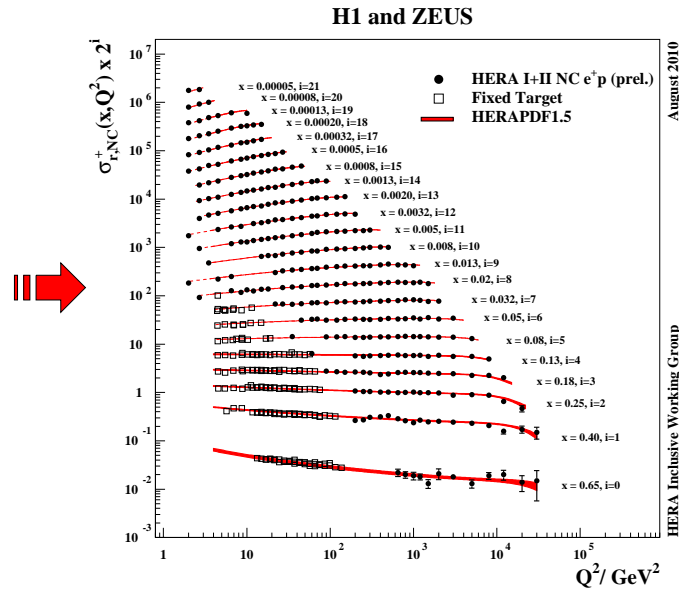
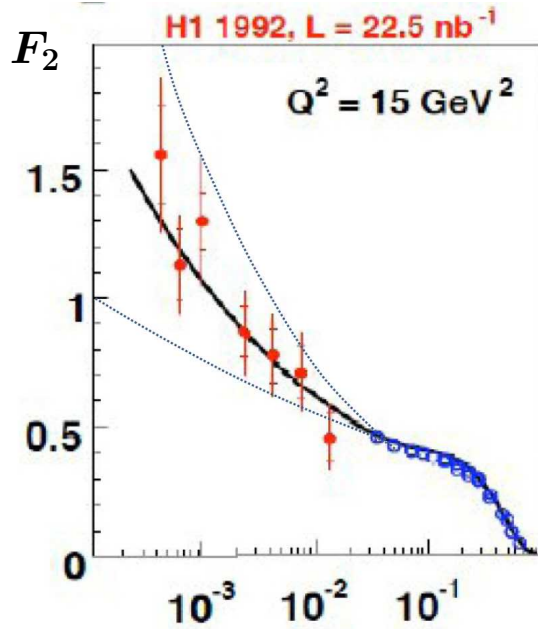


August 2010

HERA Inclusive Working Group



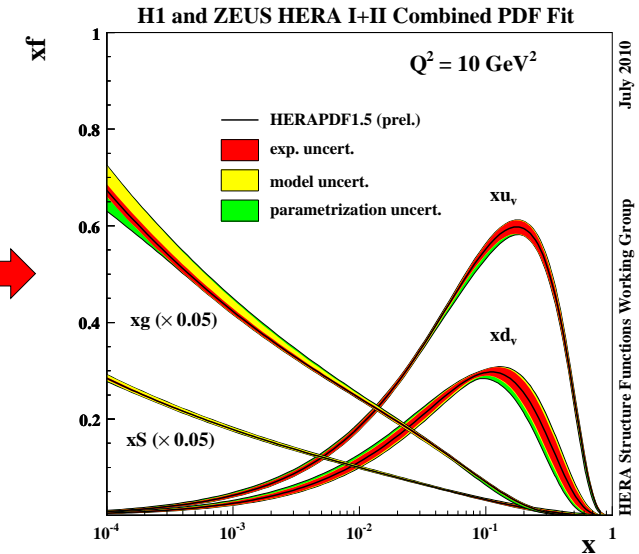
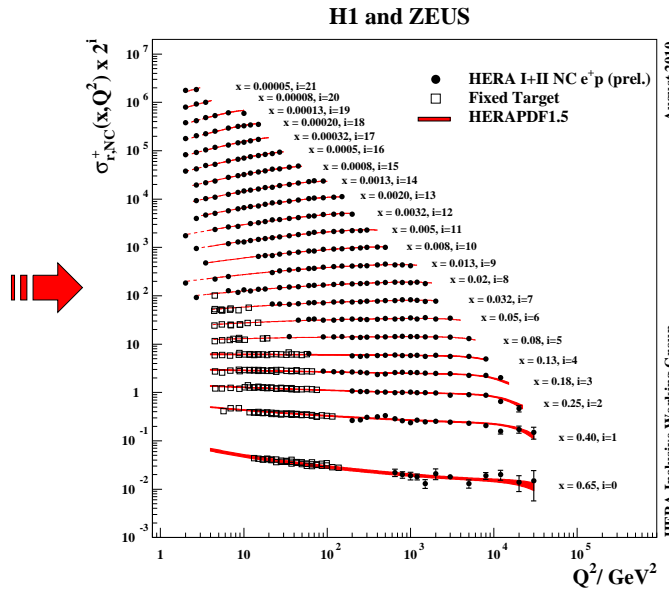
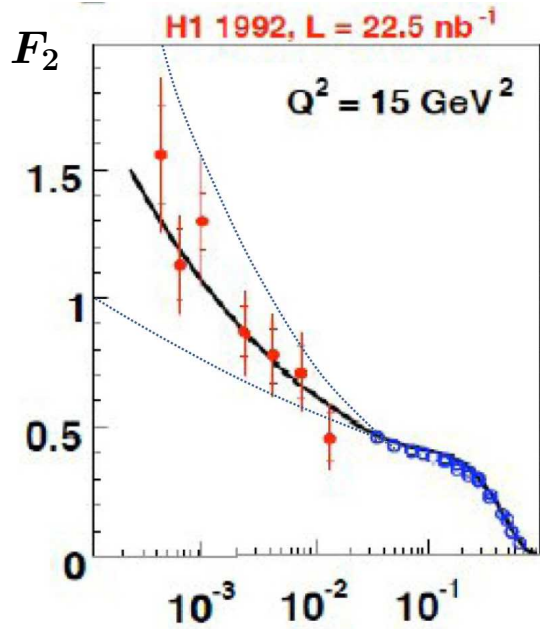
# Structure of the proton



- Precision of (1 – 2)% in the bulk region
- Perfect description of the data by NLO QCD over many orders in  $x$  and  $Q^2$
- using QCD factorisation:  $\sigma_{DIS} \sim \sum_a C_a \otimes f_{a/p}$   
universal PDFs,  $f_{a/p}$ , determined with error bands

⇒ Any substructures at  $10^{-18}\text{m}$  ?

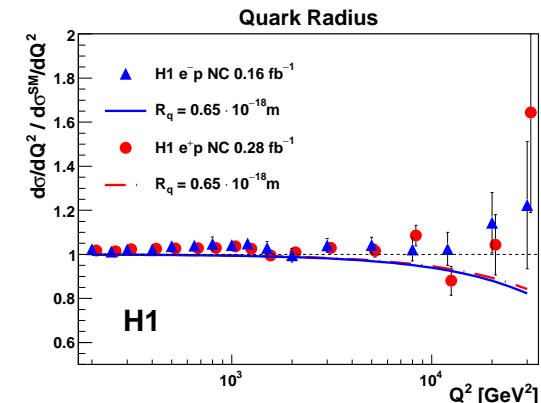
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 No. Quarks are still pointlike

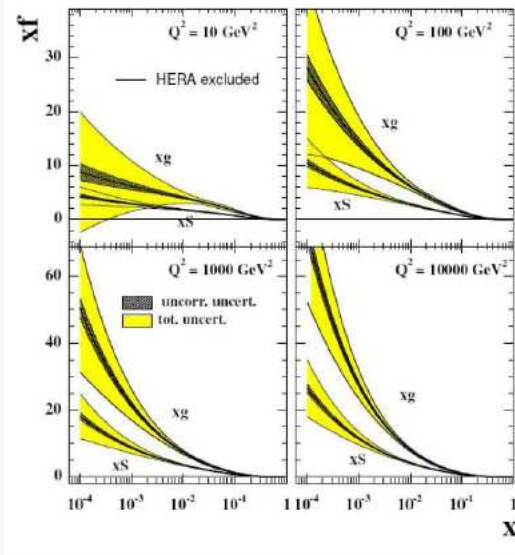
$$\frac{d\sigma}{dQ^2} = \frac{d\sigma_{SM}}{dQ^2} \cdot \left(1 - \frac{R^2}{6} \cdot Q^2\right)^2$$



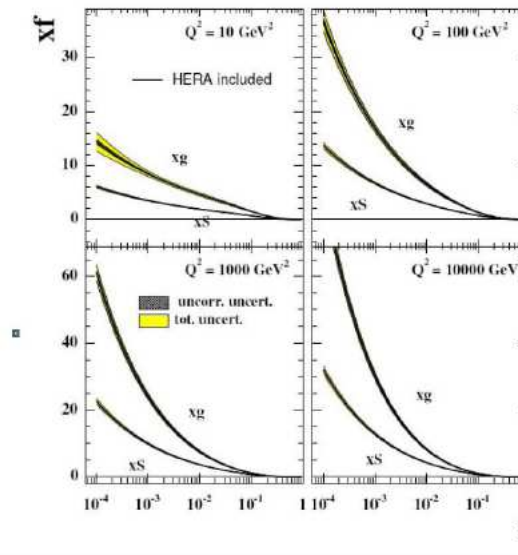
Upper limit:  $R_q < 0.65 \cdot 10^{-3} \text{ fm}$

# HERAPDF for LHC

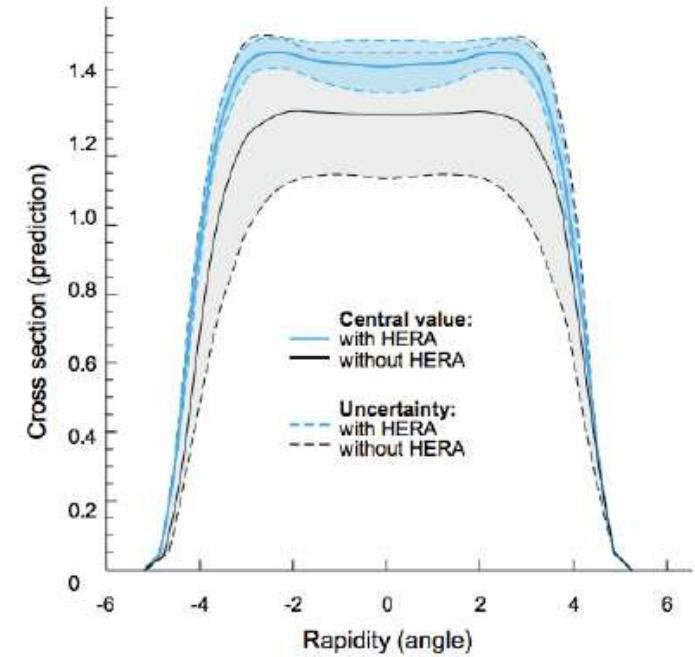
Knowledge of gluon without HERA data.



Knowledge of gluon with HERA

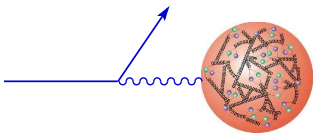


W<sup>+</sup> cross-section

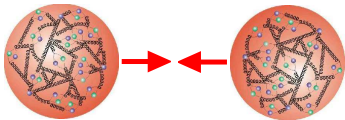


Cooper-Sarkar et al. : HERA-LHC workshop 2009

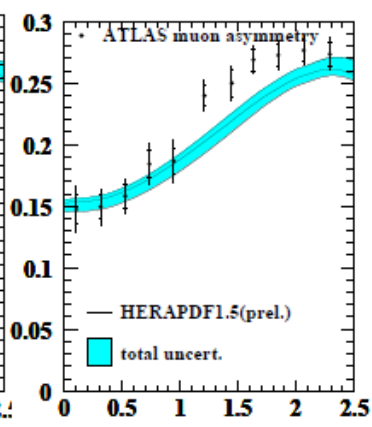
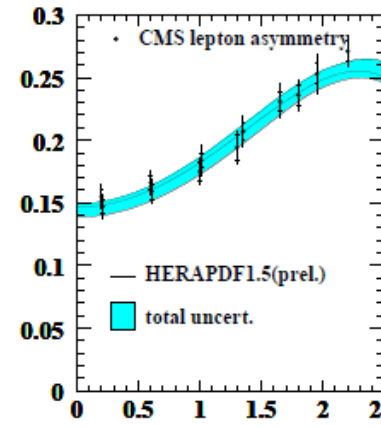
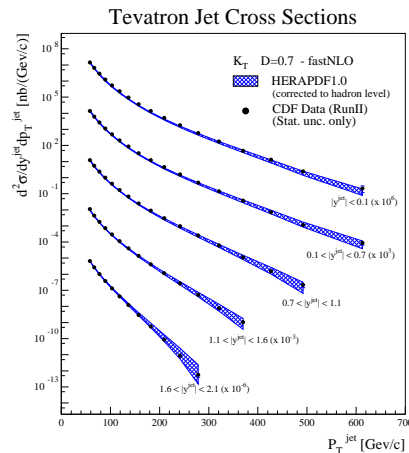
HERA



LHC



CDF Data (Run II)



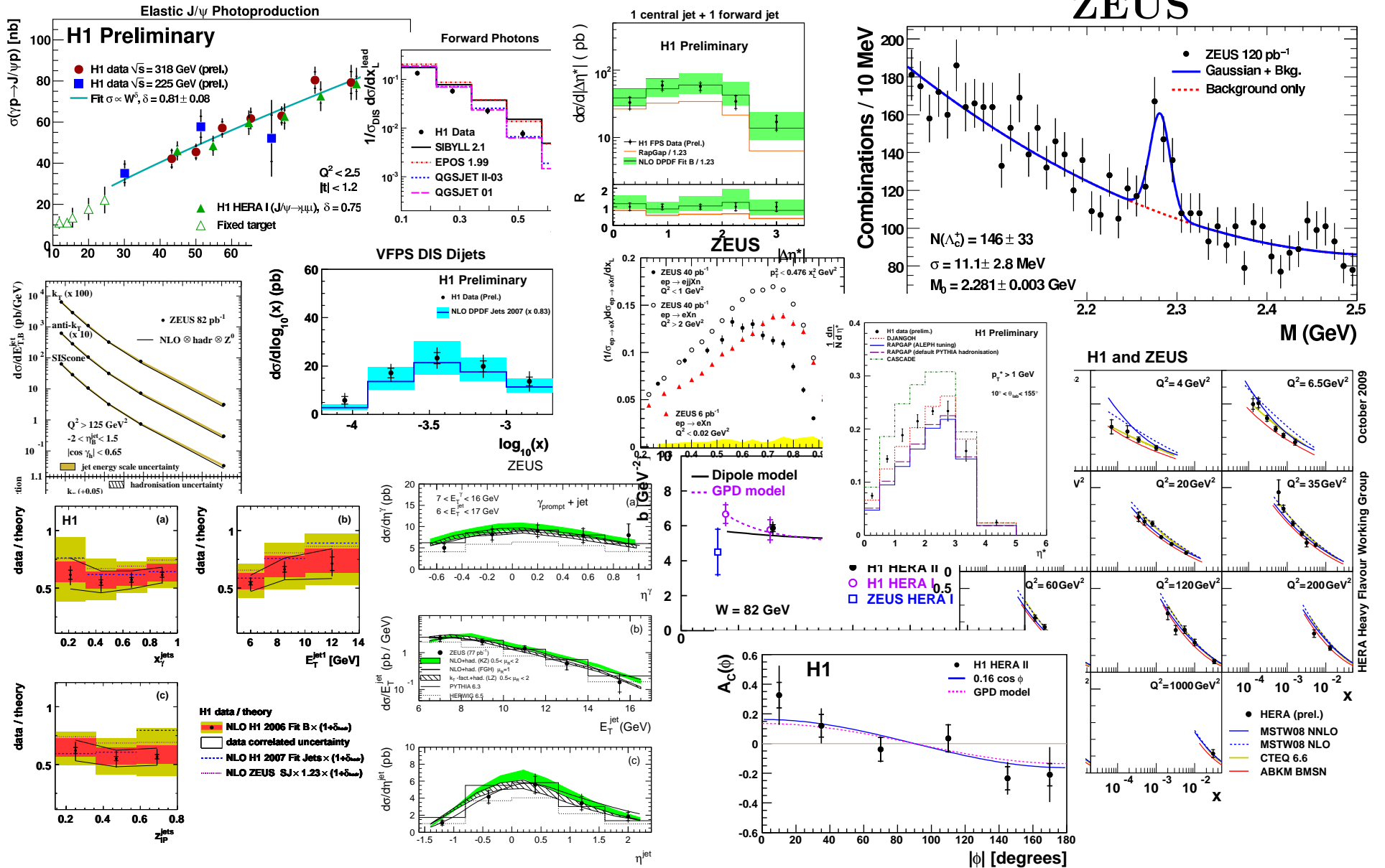
2011 LHC Data

## HERA as a Super-microscope:

- best ever measurement of the proton structure down to 0.001 fm ( $F_2$ ,  $F_L$ ,  $xF_3$ )
- lots of glue at low  $x \Rightarrow$  in high energy limit QCD processes are gluon-driven

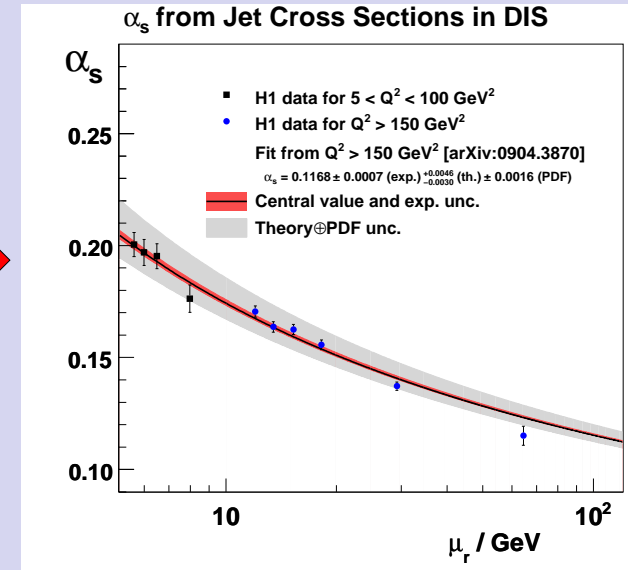
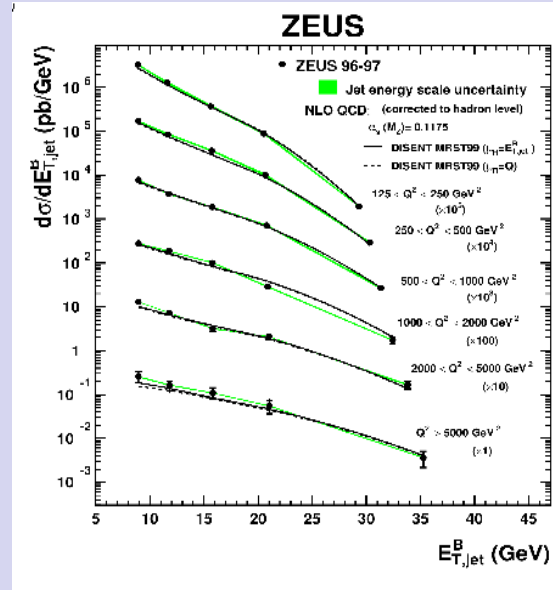
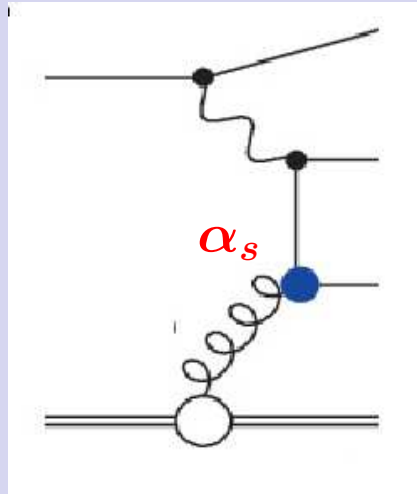
# **HERA as QCD factory**

# HERA as QCD factory



# Jets at HERA

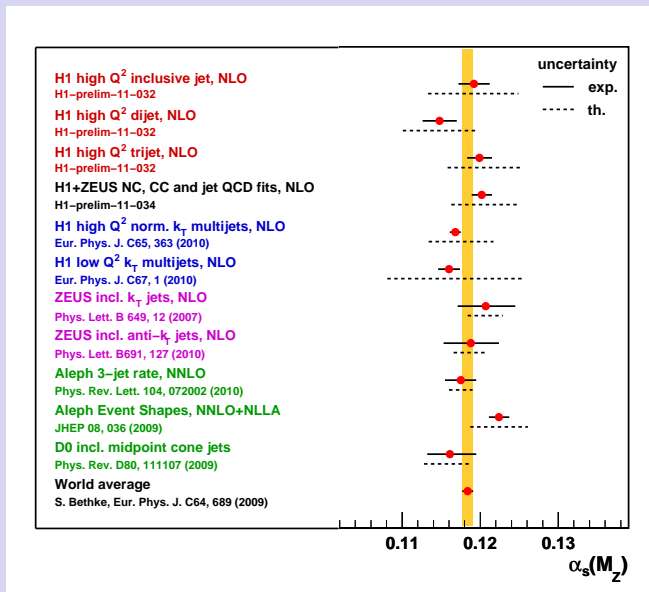
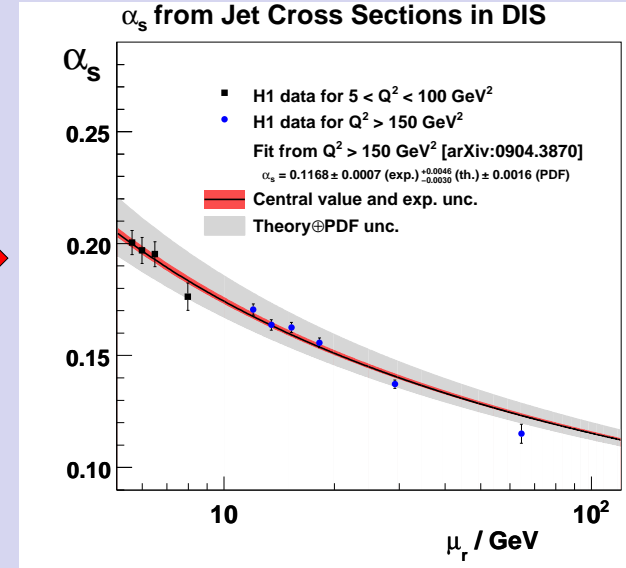
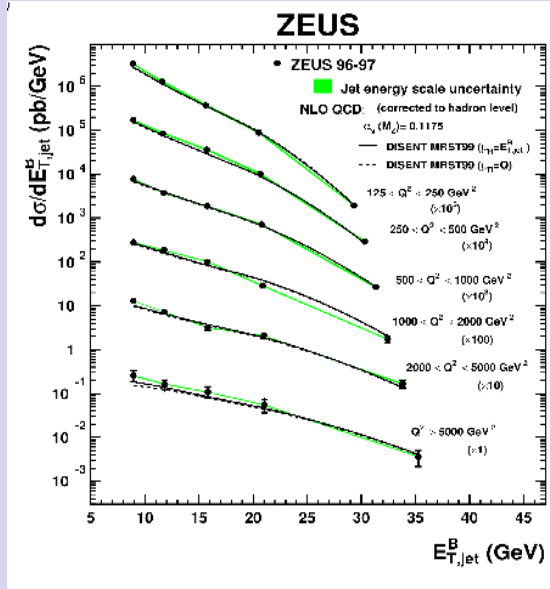
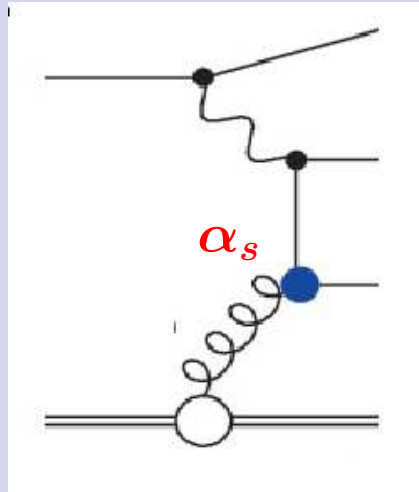
## Precision QCD



Running  $\alpha_s$  in a single experiment!

# Jets at HERA

## Precision QCD



Running  $\alpha_s$  in a single experiment!

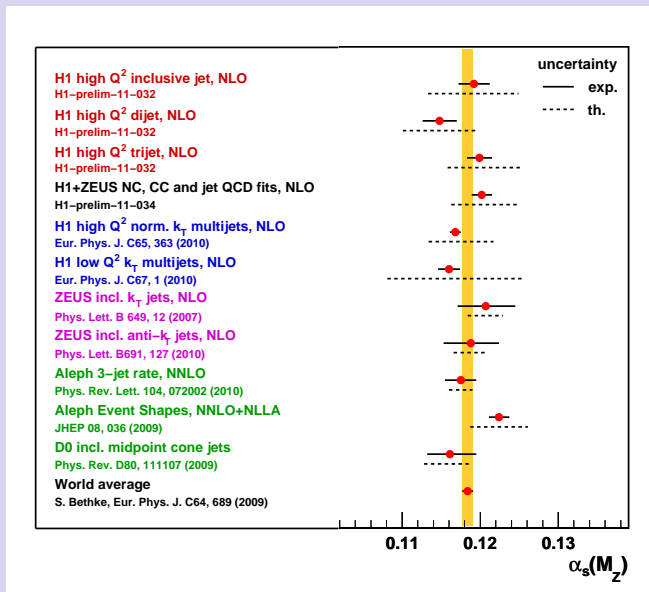
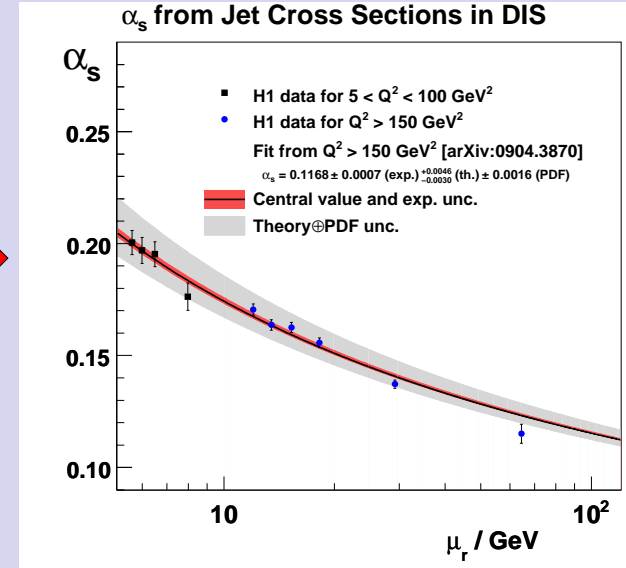
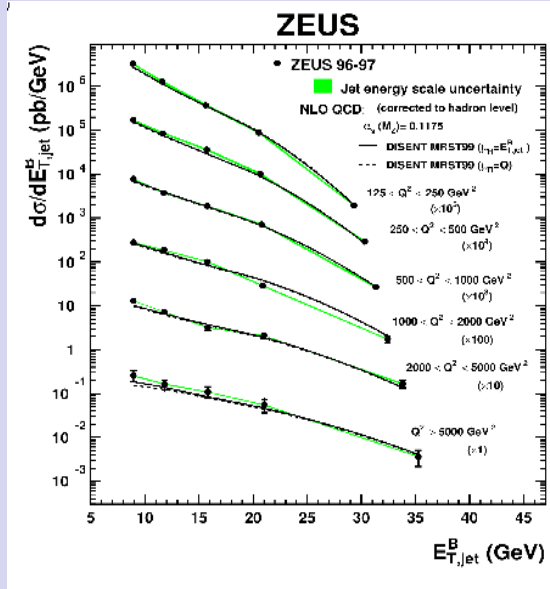
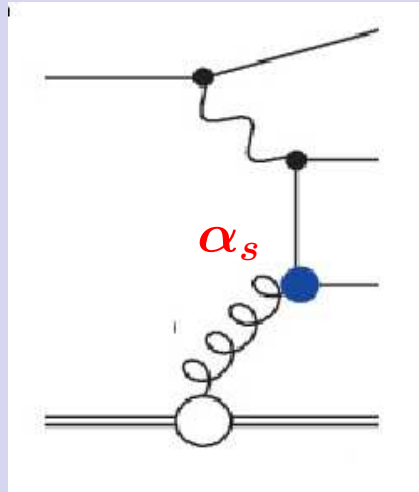
HERA results are comparable (and competitive) with the world average

Errors are dominated by theoretical uncertainties (calculations are lacking HO (NNLO) terms)



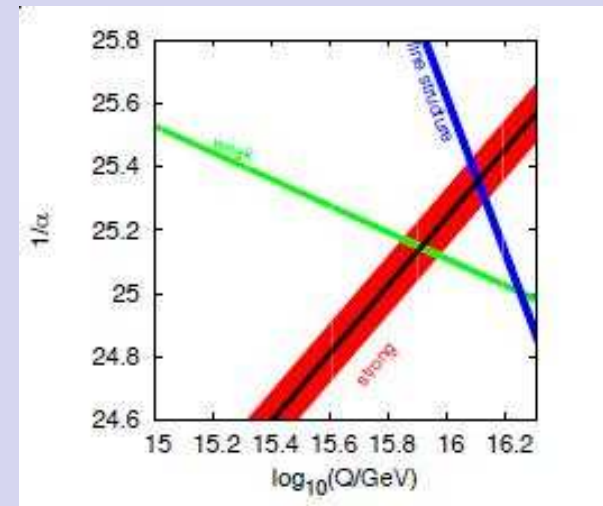
# Jets at HERA

## Precision QCD



Precision does matter!

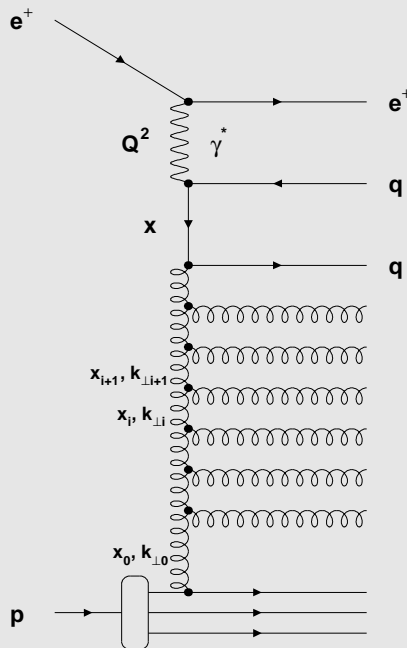
## Grand Unification?



# QCD at low $x$

Lots of glue in the proton  $\Rightarrow$  long gluon cascade at low  $x$ . Perturbative expansion of evolution equations  $\sim \sum_{mn} A_{mn} \ln(Q^2)^m \ln(1/x)^n$  hard to calculate explicitly

$\Rightarrow$  approximations needed



**DGLAP:** resums  $\ln(Q^2)^n$  terms, neglecting  $\ln(1/x)^n$  terms  
strong  $k_T$  ordering in partonic cascade

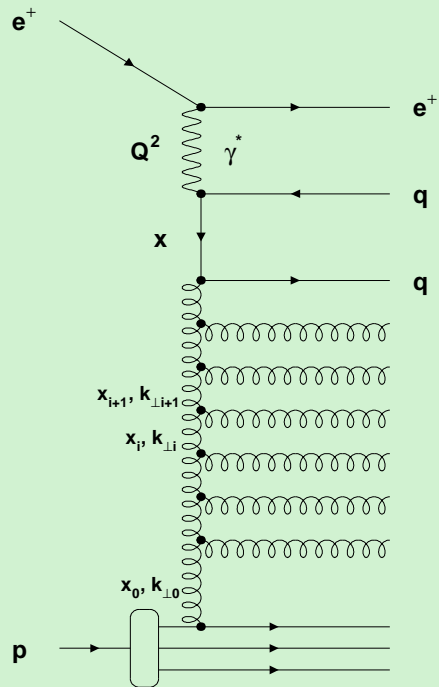
**BFKL:** resums  $\ln(1/x)^n$  terms  
no  $k_T$  ordering in partonic cascade  $\Rightarrow$  more hard gluons are radiated far from the hard interaction vertex

**CCFM:** angular ordered parton emission  $\Rightarrow$   
reproduces DGLAP at large  $x$  and BFKL at  $x \rightarrow 0$

- How long is partonic cascade at HERA, at small  $x$ ?
- Do the  $\ln(1/x)^n$  terms play a major role in parton dynamics as suggested by BFKL?

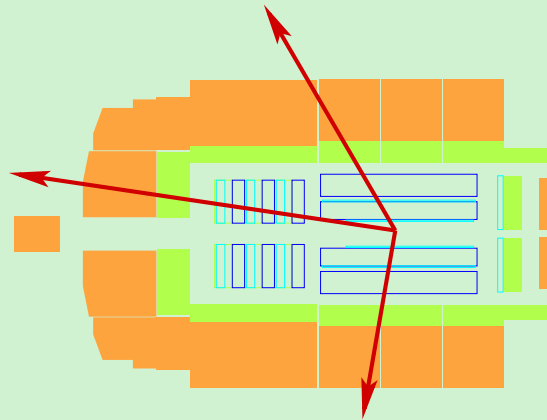
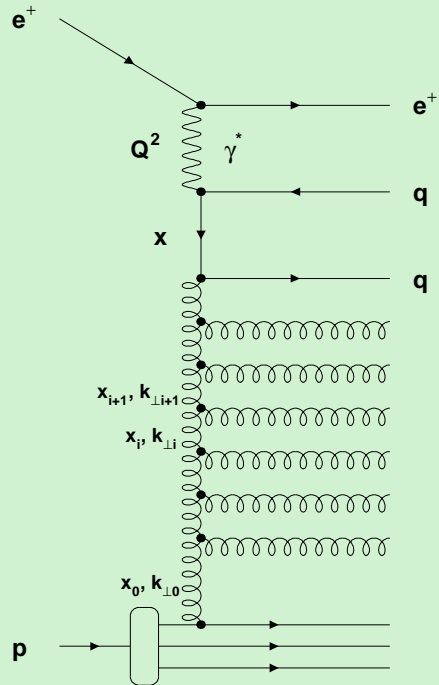
$\Rightarrow$  Look at (multi)jet final states at low  $x$  in different configurations

# Jets at HERA: New dynamics?



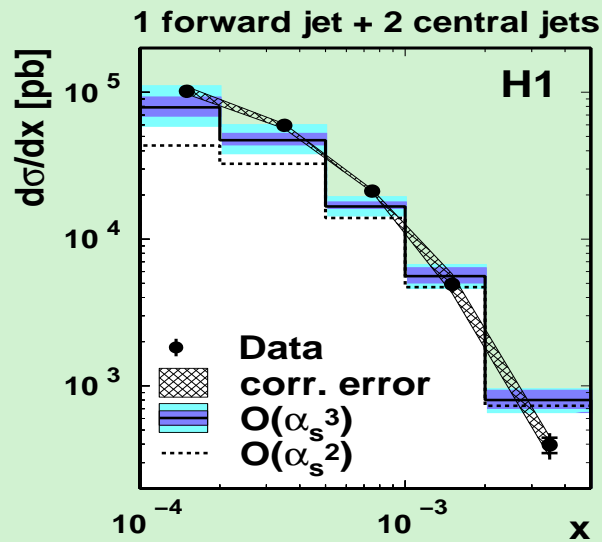
look at different topologies  
especially with forward jets

# Jets at HERA: New dynamics?

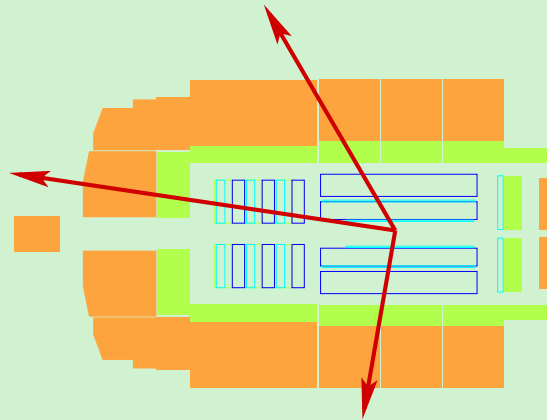
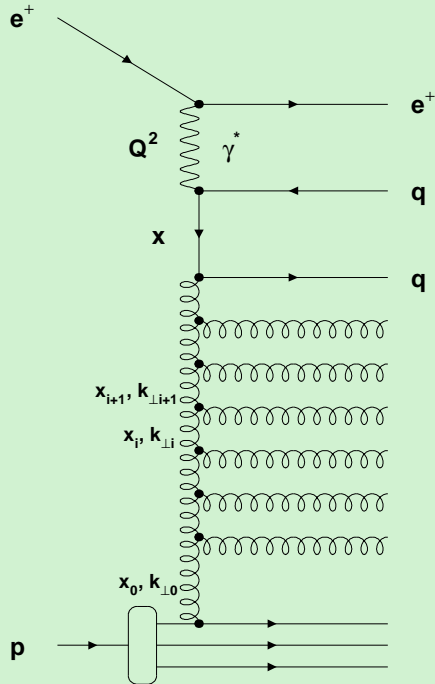


NLO DGLAP is OK

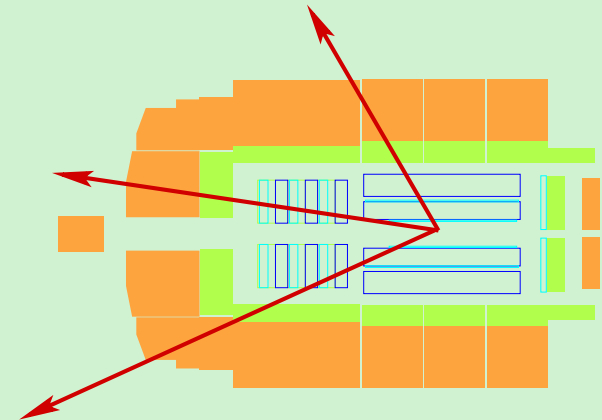
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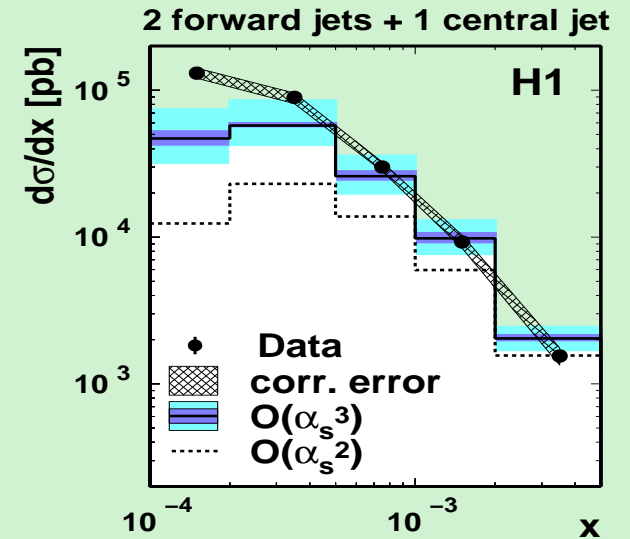
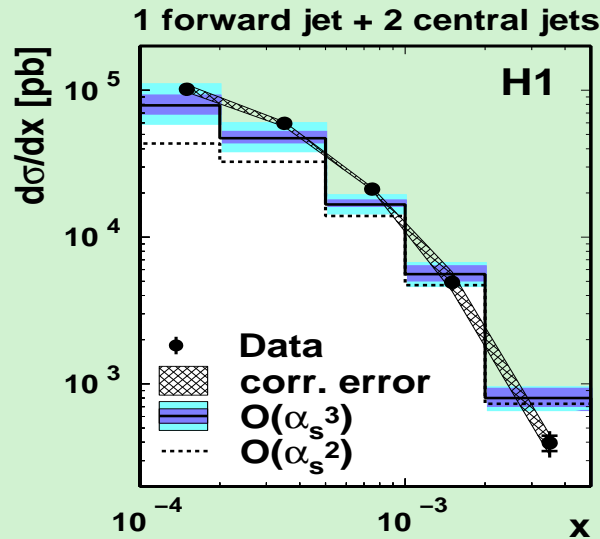
NLO DGLAP is OK



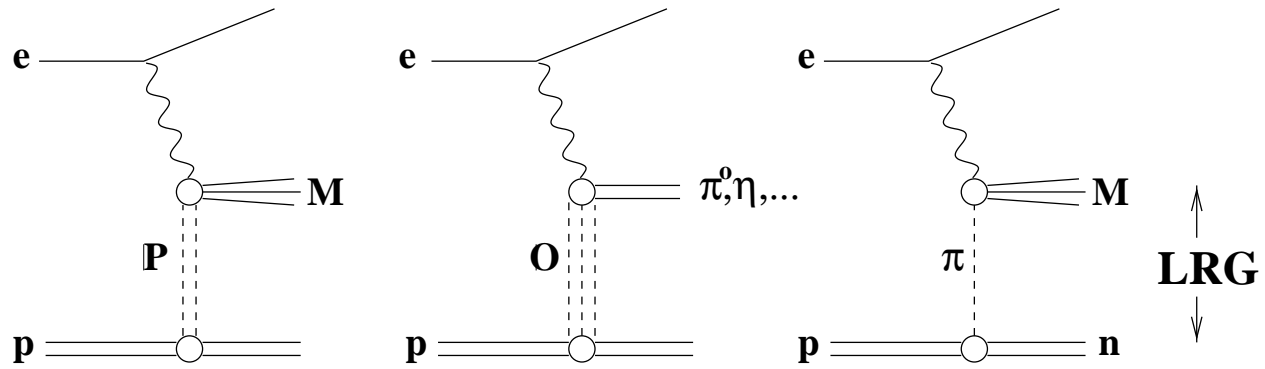
NLO DGLAP fails at low  $x$

look at different topologies especially with forward jets

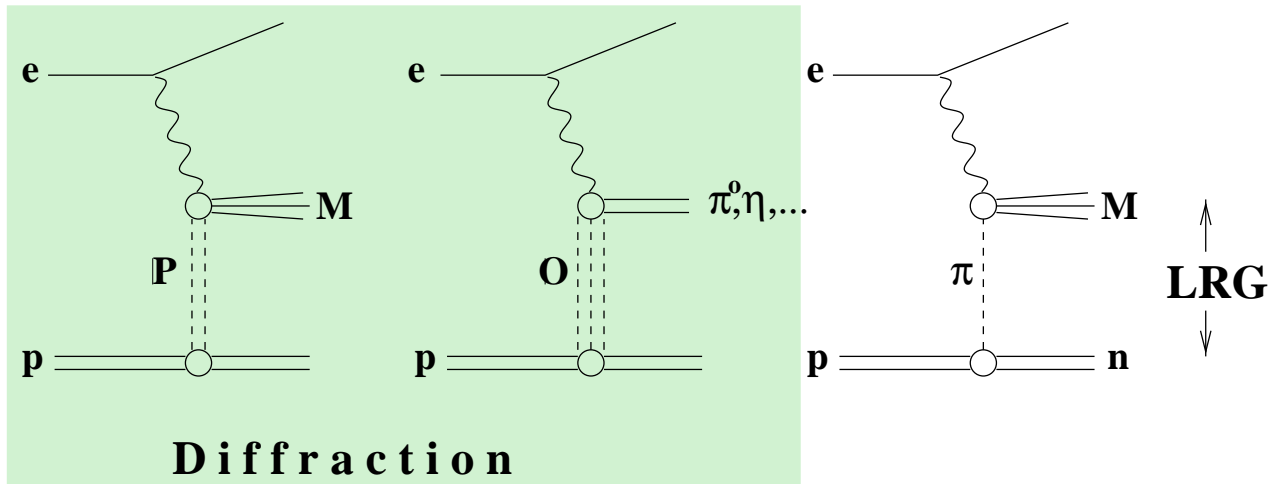
⇒ NLO DGLAP insufficient at low  $x$  ⇒ Room for non-DGLAP dynamics!



# Diffraction



# Diffraction

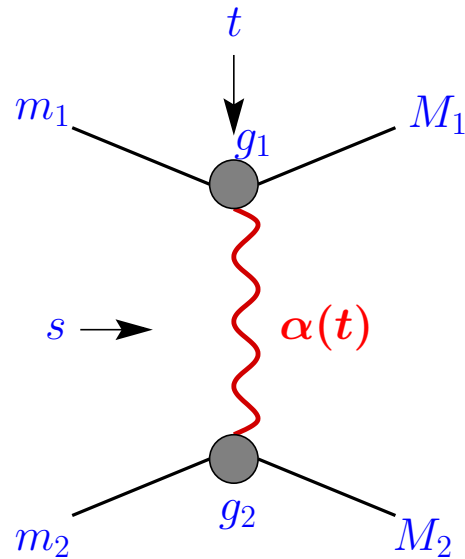


Diffraction in HEP =

Colorless exchange carrying vacuum quantum numbers

# Two approaches to Strong Interactions

## 1. Regge Pole Model $\Rightarrow$ RFT

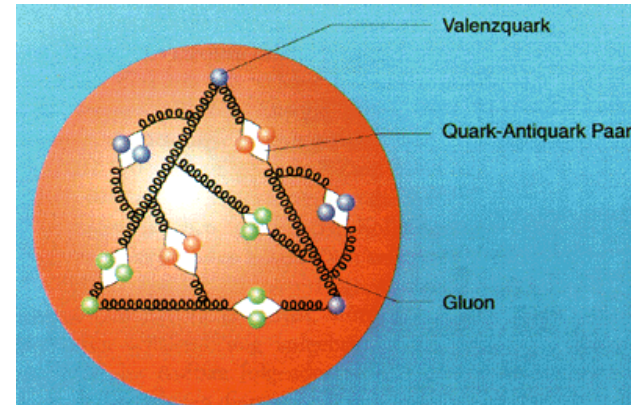


$$A(s, t) =$$

$$g_1(m_1, M_1, t) g_2(m_2, M_2, t) \frac{s^{\alpha(t)} \pm (-s)^{\alpha(t)}}{\sin(\pi\alpha(t))}$$

hadronic language

## 2. Quark-Parton Model $\Rightarrow$ QCD



$$\sigma_{ab} =$$

$$\int f_{i/a}(x_i, \mu^2) \cdot f_{j/b}(x_j, \mu^2) \cdot \hat{\sigma}_{ij}(x_i, x_j, \mu^2)$$

sub-hadronic language

**Ultimate goal: derive (1) from (2)**



RFT: soft  $hh$  scattering

vs

QCD: deep inelastic  $ep$  scattering

---

• Hadronic degrees of freedom

• Validity: large  $s \gg t$

•  $\mathbb{P}$  dominates:  $\alpha_{\mathbb{P}}(0) > \alpha_{\mathbb{R}}(0)$   
 $\rightarrow \sigma_{\text{tot}} \propto s^{\alpha_{\mathbb{P}}(0)-1}$

• Unitarity corrections unavoidable  
( $\sigma_{\text{tot}} \leq \ln^2(s/s_0)$  at  $s \rightarrow \infty$ )

• When?  $s_{\text{sat}} = ?$

• First to be seen in diffraction:  $\sigma_D \propto s^{2(\alpha-1)}$

• Partonic degrees of freedom

• Low  $x$ :  $W^2 \gg Q^2, t$  ( $Q^2/W^2 \simeq x \ll 1$ )

• gluons dominate:  $xg(x) \gg xq_{\text{val}}(x)$   
 $F_2(x, Q^2) \propto xg(x) \sim x^{-\lambda}$

• Saturation of the  $xg(x)$   
(non-linear effects, shadowing, ...)

•  $x_{\text{sat}}(Q_{\text{sat}}) = ?$

• First to be seen in diffraction:  $\sigma_D \propto |xg(x)|^2$

---

$\Rightarrow$  Diffraction  $\equiv$  Physics of the Pomeron,  
the essence of strong interactions

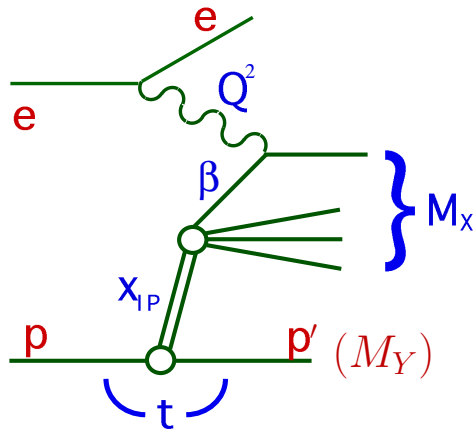
$\Rightarrow$  Diffraction  $\equiv$  Gluodynamics,  
the essence of QCD

(in high energy limit)

---

# Diffraction at HERA

- Fundamental aim: understand high energy limit of QCD (gluodynamics; CGC ?)
- Novelty: for the first time probe partonic structure of diffractive exchange
- Practical motivations: study factorisation properties of diffraction; try to transport to  $hh$  scattering (e.g. predict diffractive Higgs production at LHC)



$$x_{\mathbb{P}} = \xi = \frac{Q^2 + M_X^2}{Q^2 + W^2}$$

(momentum fraction of colour singlet exchange)

$$\beta = \frac{Q^2}{Q^2 + M_X^2} = x_{q/\mathbb{P}} = \frac{x}{x_{\mathbb{P}}}$$

(fraction of exchange momentum, coupling to  $\gamma^*$ )

$$t = (p - p')^2$$

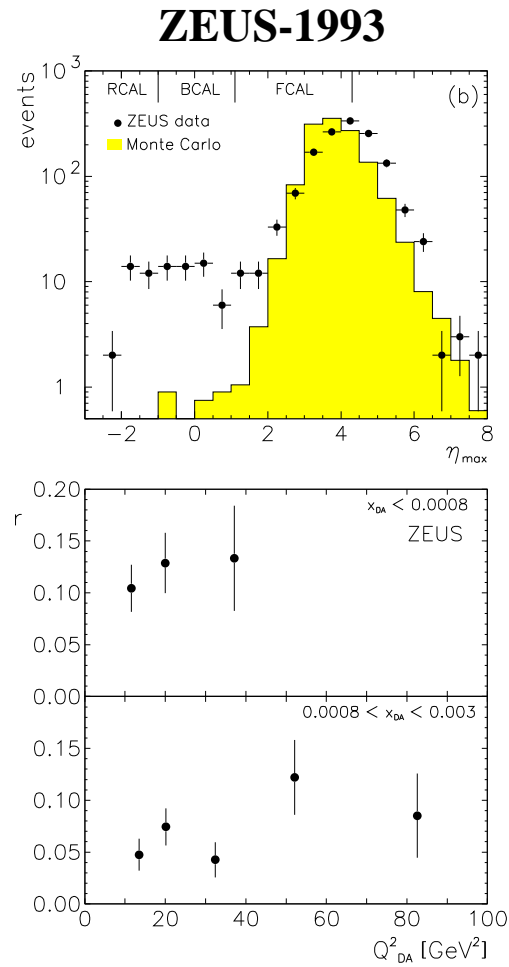
(4-momentum transfer squared)

## Experimental methods:

- 1) selecting LRG events
- 2) detecting  $p$  in Roman Pots

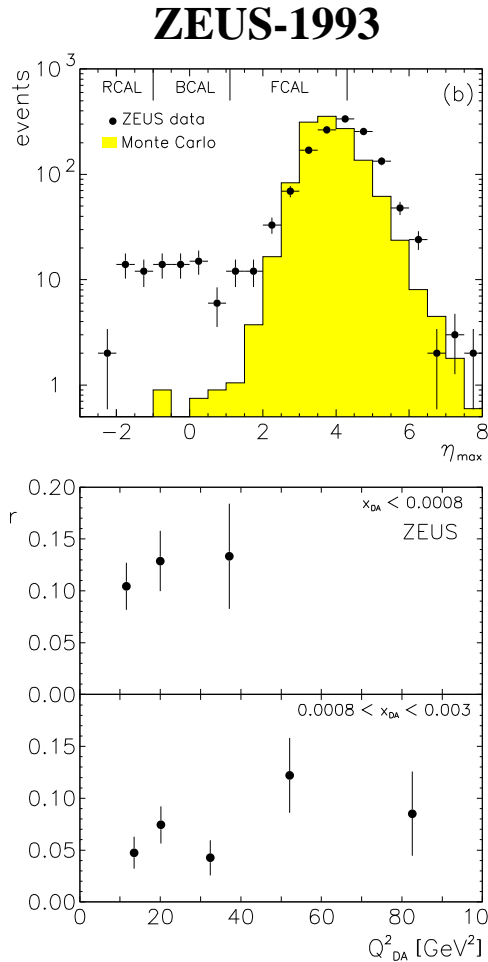


# Inclusive Diffraction in DIS



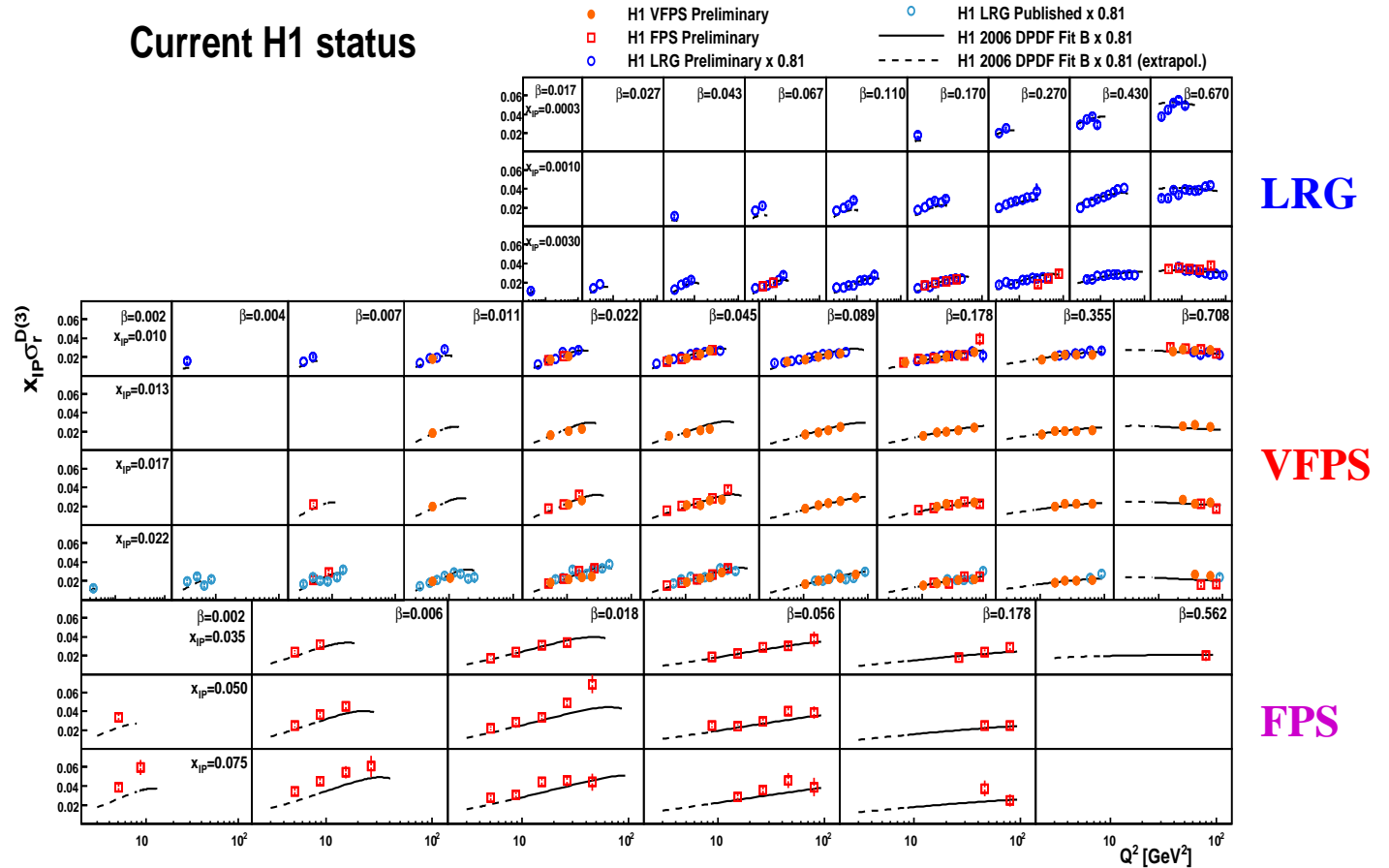
First observation  
of diffraction in DIS  
1992 data,  $24.7 \text{ nb}^{-1}$

# Inclusive Diffraction in DIS



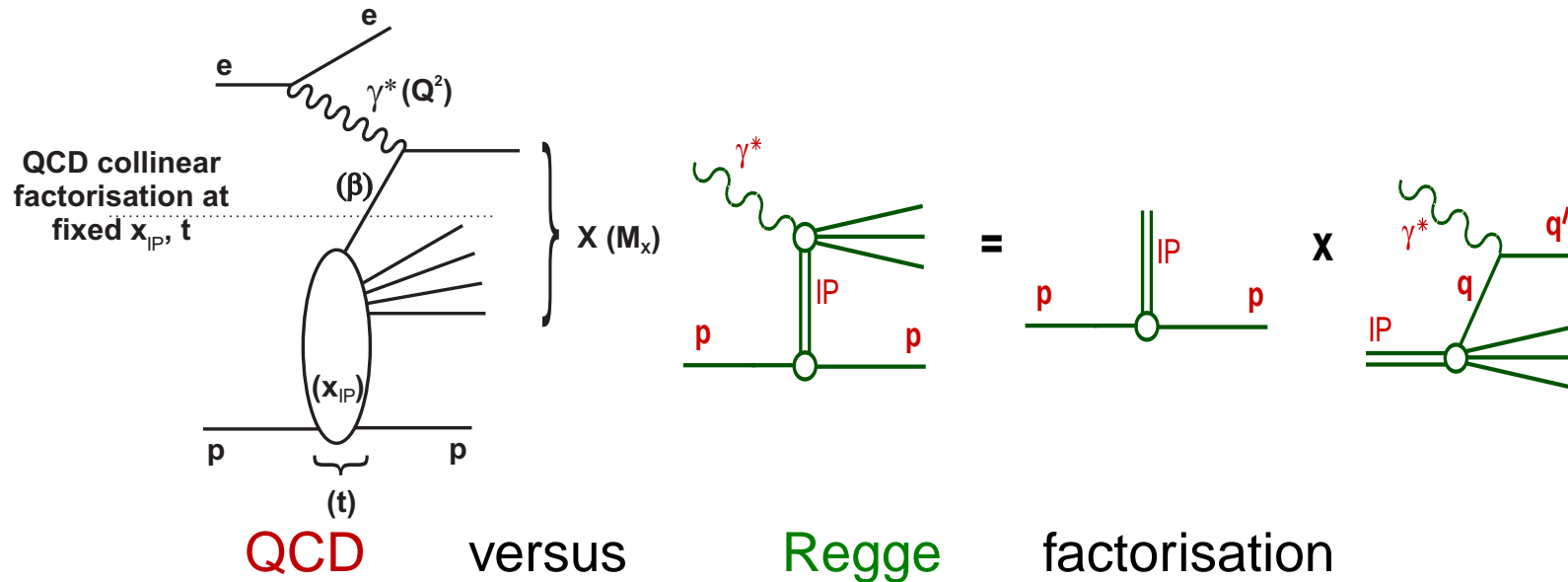
First observation  
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1992 data,  $24.7 \text{ nb}^{-1}$

## Current H1 status



- Compelling confirmation of the NLO QCD picture of diffraction over a wide kinematic range. **Clear candidate for the textbook!**
- Diffractive PDFs are determined from these data. Are they universal?

# Factorisation properties in diffraction



## QCD factorisation

(rigorously proven for DDIS by Collins et al.):

## Regge factorisation

(conjecture, e.g. RPM by Ingelman, Schlein):

$$\sigma_r^{D(4)} \propto \sum_i \hat{\sigma}^{\gamma^* i}(x, Q^2) \otimes f_i^D(x, Q^2; x_{IP}, t)$$

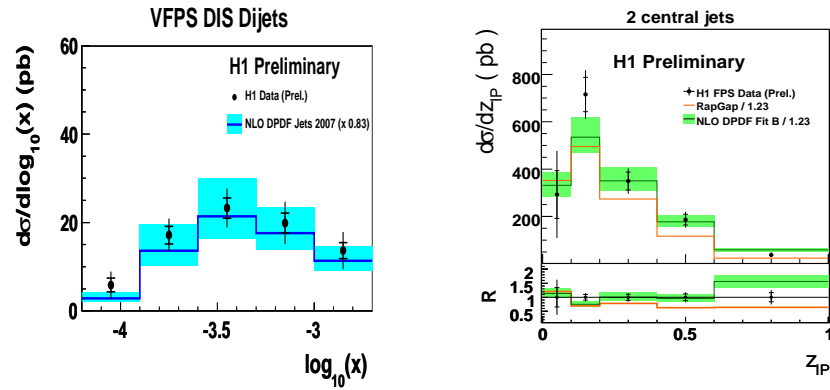
- $\hat{\sigma}^{\gamma^* i}$  – hard scattering part, same as in inclusive DIS
- $f_i^D$  – diffractive PDF's, valid at fixed  $x_{IP}, t$  which obey (NLO) DGLAP

$$F_2^{D(4)}(x_{IP}, t, \beta, Q^2) = \Phi(x_{IP}, t) \cdot F_2^{IP}(\beta, Q^2)$$

- In this case shape of diffractive PDF's is independent of  $x_{IP}, t$  while normalization is controlled by Regge flux  $\Phi(x_{IP}, t)$

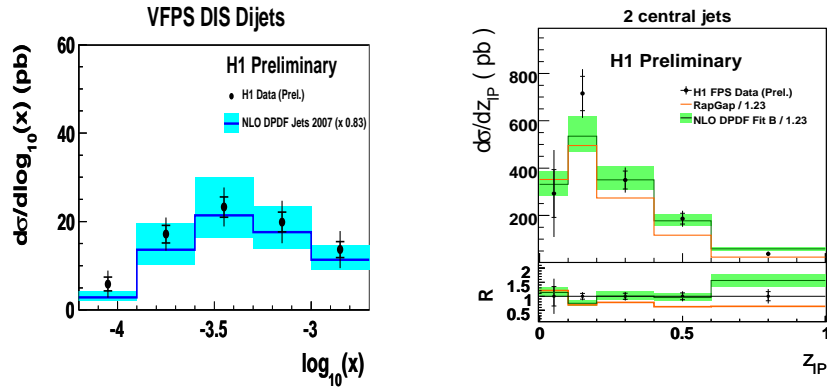
# QCD Factorisation Tests in Diffraction at HERA

QCD Factorisation holds in DIS regime, e.g.:

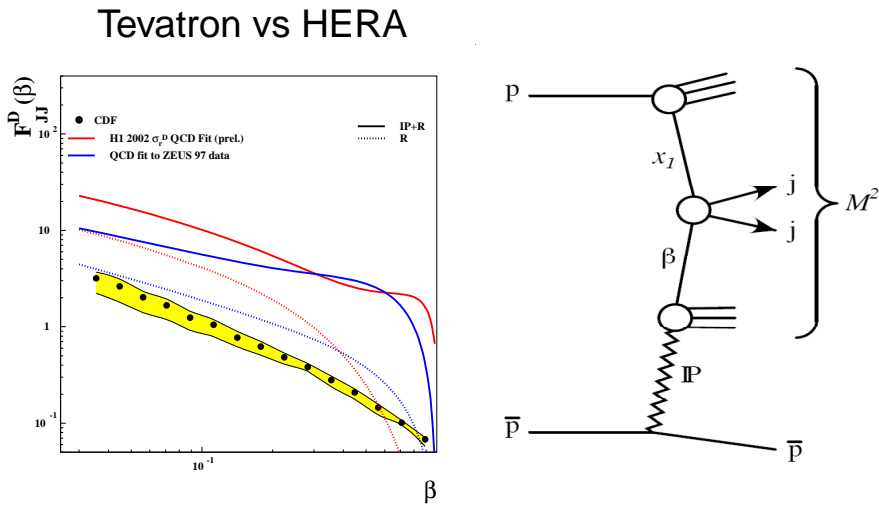


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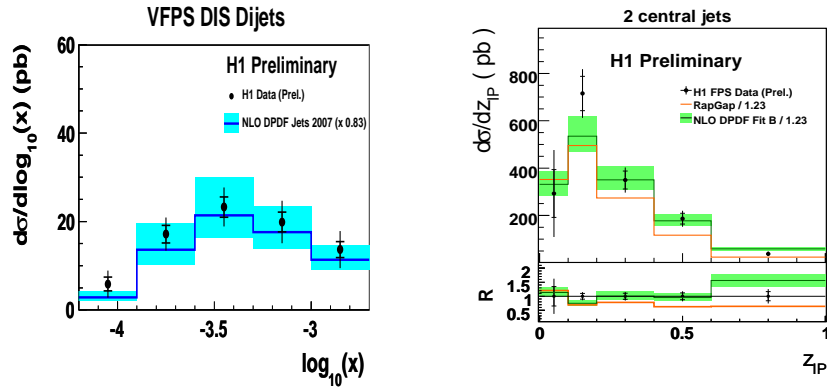


However, it breaks down at Tevatron ...



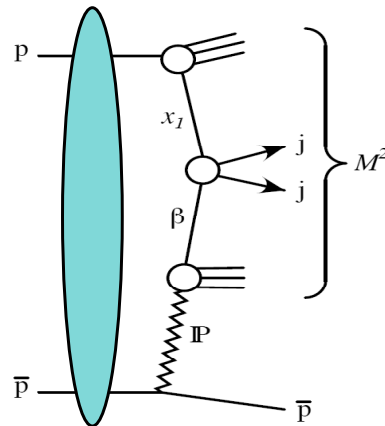
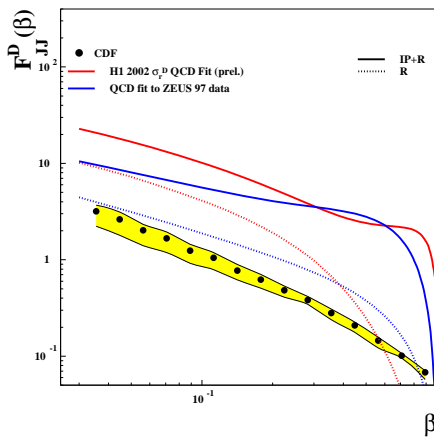
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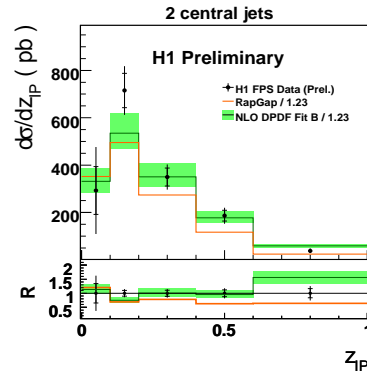
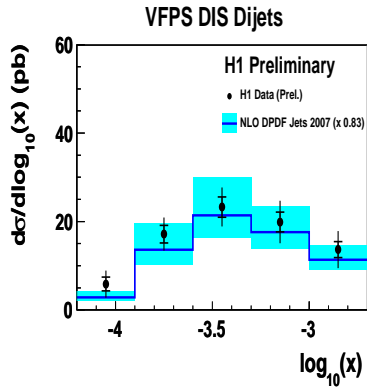
Tevatron vs HERA





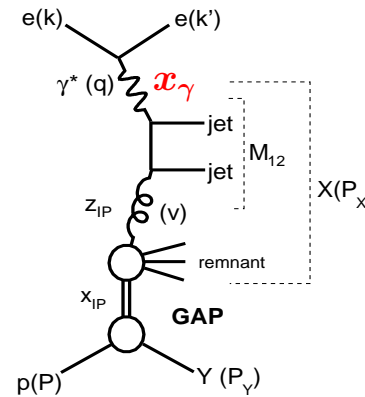
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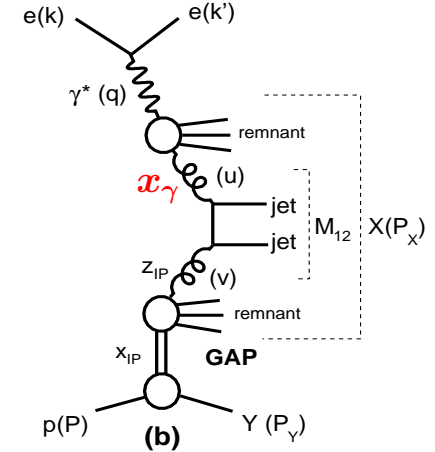
However, it breaks down at Tevatron ...  
 ...due to soft remnant rescattering ( $S \sim 0.15$ )

⇒ Test it in photoproduction:



(a)

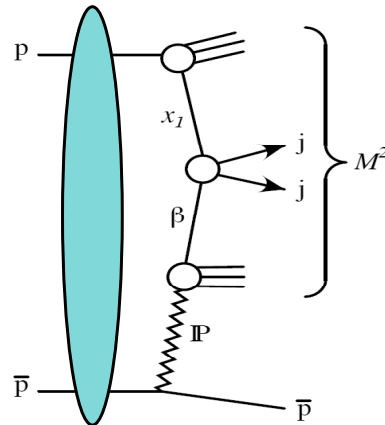
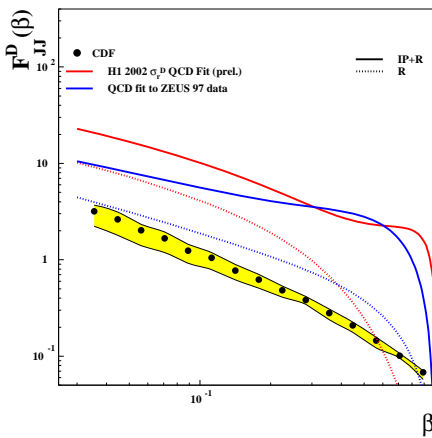
direct,  $x_\gamma = 1$  (DIS-like)



(b)

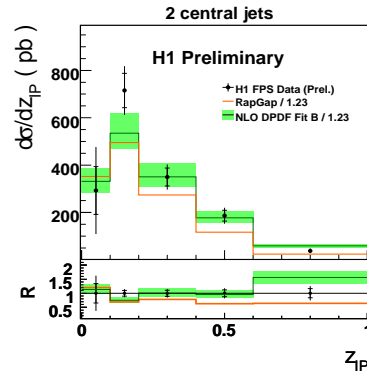
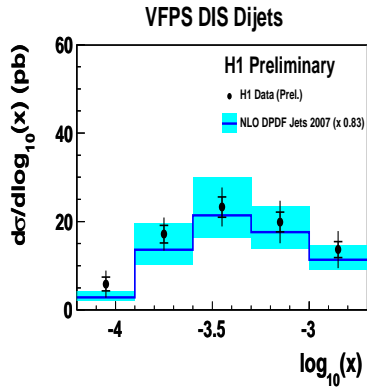
resolved,  $x_\gamma < 1$  (hadron-like)

## Tevatron vs HERA

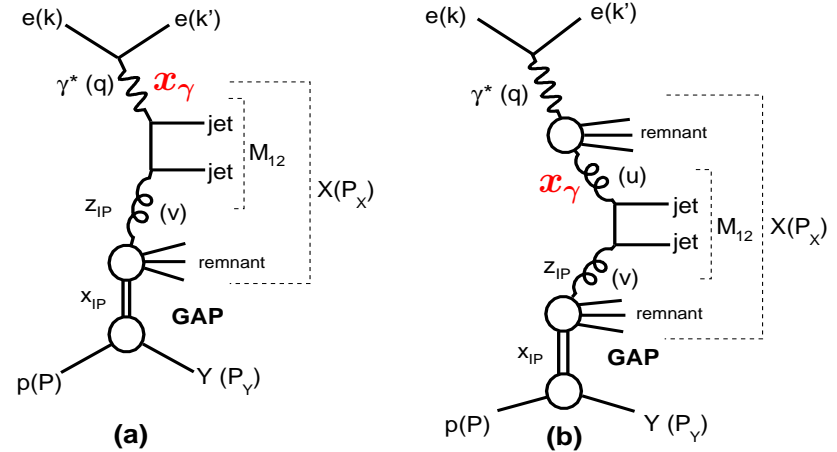


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QCD Factorisation holds in DIS regime, e.g.:



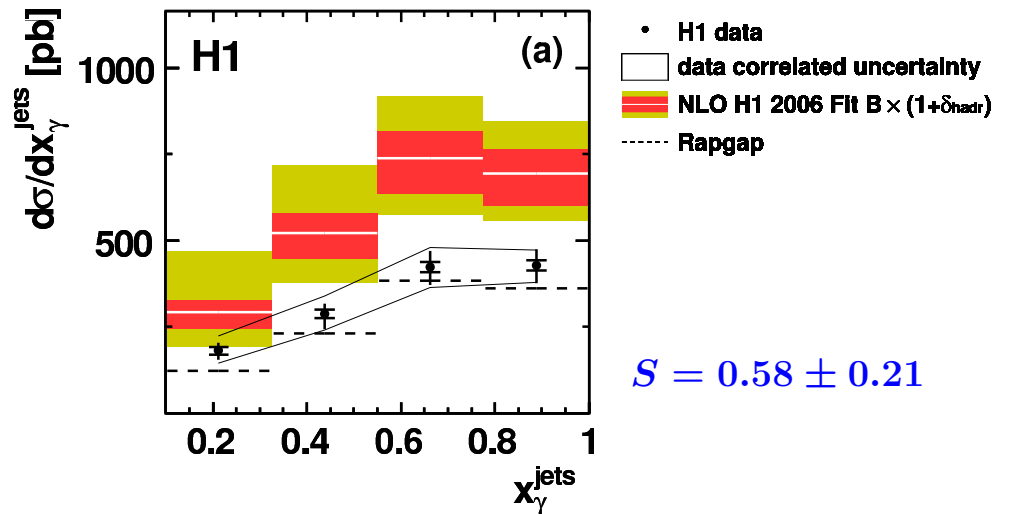
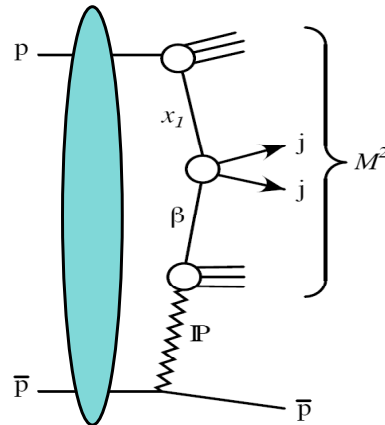
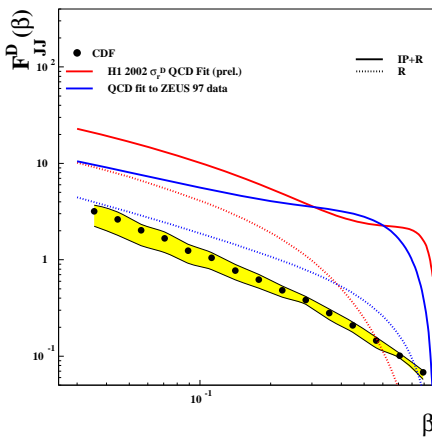
⇒ Test it in photoproduction:



direct,  $x_\gamma = 1$  (DIS-like)      resolved,  $x_\gamma < 1$  (hadron-like)

However, it breaks down at Tevatron ...  
 ...due to soft remnant rescattering ( $S \sim 0.15$ )

Tevatron vs HERA



- Global,  $x_\gamma$ -independent suppression factor is observed – somewhat unexpected
- ⇒ Details of factorisation breaking mechanism in  $\gamma p$  at HERA are not fully understood yet

## Summary

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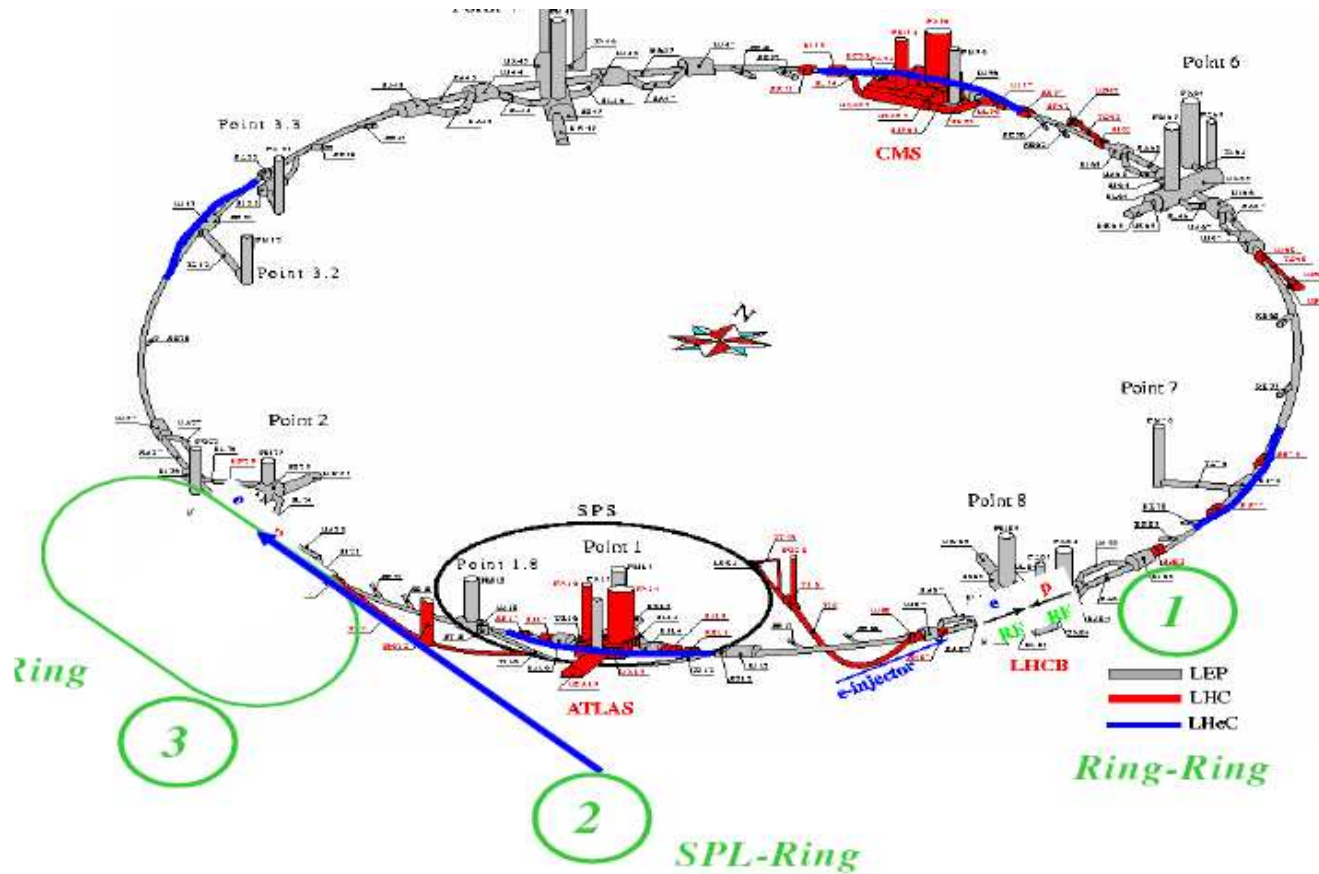
- Standard Model survived  $1 \text{ fb}^{-1}$  of **HERA** data and is still in a good shape. Next challenge is now coming from the **LHC** - stay tuned!
- Combining H1 and ZEUS data allowed proton structure to be measured with unprecedented precision
- NLO DGLAP is surprisingly successful down to low  $Q^2$  and low  $x$  in describing bulk of HERA data. However, some room for parton evolution beyond DGLAP is found at specific phase space corners  $\Rightarrow$  important message for LHC
- Gained new insights into high energy diffraction: Pomeron under the HERA microscope shows complicated interplay of soft and hard phenomena. Understanding colour singlet exchange remains a major challenge in QCD
- Is this the end of DIS experiments? Or what's next at the horizon?



Project under discussion

For late LHC period:

~ 2022 – 2032



$$e^{\pm}p$$

(60 – 140) GeV × 7000 GeV

# 100 years of studying the structure of matter

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## ■ Fixed target experiments

- ▷ **Rutherford** – 1911 (7 MeV,  $\alpha Au$ )  
structure of atoms  $\Rightarrow$  planetary model  $\Rightarrow$  quantum mechanics
- ▷ **Hofstadter** – 1953 (400 MeV,  $eA$ )  
structure of the nucleus; determination of the size of  $A$  and  $p$
- ▷ **SLAC** – 1968 (20 GeV,  $ep$ )  
structure of the proton  $\Rightarrow$  quarks  $\Rightarrow$  QPM
- ▷ **SPS@CERN** – 1976 (EMC, NA4, etc. studying DIS with  $\mu$  beam)

## ■ Collider experiments

- ▷ **HERA** – 1992 ( $27.5 \times 920$  GeV  $ep$ )  
gluon dominated proton (and Pomeron); low  $x$  QCD; EW sector of SM
- ▷ **LHeC** – 2022 ( $60 \times 7000$  GeV,  $ep/eA$ ) – *not approved yet*  
non-linear QCD? Strong parton saturation? BSM phenomena?